

OMB #
Expires:

SP ID #: _____

SP NAME: _____

INTERVIEWER NAME: _____

INTERVIEWER ID: _____

FACILITY ID #: _____

START TIME: _____ am/pm

DEPARTMENT OF HEALTH AND HUMAN SERVICES

HEALTH CARE FINANCE ADMINISTRATION

MEDICARE CURRENT BENEFICIARY SURVEY

FACILITY COMPONENT

RESIDENCE HISTORY

ROUNDS 18 AND 19

ASSURANCE OF CONFIDENTIALITY

Information contained on this form that would permit identification of any individual or establishment is collected with a guarantee that it will be held in strict confidence by the contractor and HCFA, will be used only for purposes stated in this study, and will not be disclosed or released to anyone other than authorized staff of HCFA without the consent of the individual or the establishment in accordance with the Privacy Act of 1974.

SET-UP ITEMS

BOX RHA	<p>If SP is a live continuing facility resident still in the facility, go to RH2PRE.</p> <p>If this is the first CAPI Residence History for this SP in this facility, go to RH1PRE.</p> <p>If the SP is deceased at the end of previous round reference period, no Residence History data is collected.</p>
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RH1PRE

Now, I would like to ask you about the places where {SP} stayed for one night or more between {January 1, 1997/January 1, {YEAR}/{her/his} admission to this facility} and today including staying in {other parts of {FACILITY},} hospitals or other places. In answering these questions, it might be helpful for you to review records that show discharges or transfers from {FACILITY/ELIGIBLE UNIT} to hospitals or other places.

PRESS ENTER TO CONTINUE. (BOX RH1)

RH2PRE

On my last visit {on {DATE OF PREVIOUS ROUND FACILITY INTERVIEW}}, {SP} was alive and a resident of {UNIT WHERE SP WAS RESIDENT ON DATE OF PREVIOUS ROUND INTERVIEW/HOSPITAL NAME}. Now, I would like to ask you about all the places {SP} has stayed since my last visit, including staying in {other parts of {FACILITY},} hospitals or other places.

PRESS ENTER TO CONTINUE. (BOX RH1)

RH2PRE1

On my last visit on {DATE OF PREVIOUS ROUND FACILITY INTERVIEW}, {SP} had been discharged alive from {UNIT WHERE SP WAS A RESIDENT AT MOST RECENT DATE OF DISCHARGE IN PREVIOUS ROUND} to {DISCHARGE PLACE} on {MOST RECENT DATE OF DISCHARGE IN PREVIOUS ROUND}. Since then has {SP} been readmitted to {UNIT WHERE SP WAS A RESIDENT AT MOST RECENT DATE OF DISCHARGE IN PREVIOUS ROUND} {or other parts of {FACILITY}}?

PRESS F2 FOR PLACE ROSTER. PROBE FOR LTC UNITS.

YES	1	(RH7)
NO	0	(BOX RHB)
DK	-8	(RH3)
RF	-7	(RH3)

HIRO.READMIT

BOX RHB	If discharge place = hospital (PLAC. HOSPKIND) go to RH7, else go to RH5.
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BOX RH1	If CFR If Round=18, go to BOX RH1D. Else, go to RH7. If SSM1, go to RH2. Otherwise, go to RH2A.
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RH2

On what date was {SP} most recently admitted to {FACILITY/ELIGIBLE UNIT}?

MONTH () DAY () YEAR 19() (BOX RH1D)

HIST.ADMITMM .ADMITDD .ADMITYY .ADMIT

If any part of date is DK or RF, go to RH3, otherwise go to BOX RH4.

RH2A

On what date do your records show {SP} was admitted to {FACILITY}?

IF SP NEVER ADMITTED, ENTER SHIFT/5 IN MONTH FIELD. (RHENDB)

MONTH () DAY () YEAR 19()

**HIST.ADMITYY HIST.XADMSTAY
 .ADMITMM
 .ADMITDD
 .ADMIT**

Set RH2A date as {FAD/RAD}.

If any part of date is DK or RF, go to RH3, otherwise go to RH2B.

BOX RH2	If facility has more than one unit go to RH2B, otherwise If SSM, go to BOX RH4. Else, go to RH7.
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RH2B

Where was {SP} a resident on {1/1/{YEAR}/FAD/RAD}?

IF A BED WAS HELD FOR SP, PROBE: Where was the bed that was held for SP on {FAD/RAD}?

SELECT ONE {PLACE ROSTER VERSION 4}.

HIST.XRHPLAC

BOX RH3	Go to BOX RH4.
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RH3

Please tell me the name and title of someone in {ELIGIBLE FACILITY} who could give me that information.

RECORD RESPONDENT INFORMATION ON PAPER FROG.

PRESS ENTER TO CONTINUE.

PROGRAMMER SPECS:
Go to RHEND.

RH5

I do not need to collect any more information for {SP}.

PRESS ENTER TO RETURN TO NAVIGATION SCREEN.

BOX RH4	If SSM, ask RH6. Otherwise, go to RH7.
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RH6

ASK IF NOT OBVIOUS.

Is {SP} male or female?

MALE	1
FEMALE	2

HIST.RHSEX

RH7

Is {SP} alive?

YES	1	(BOX RH7)
NO	0	(RH8)
DK	-8	(BOX RH7)
RF	-7	(BOX RH7)

HIST.RHALIVE

RH8

On what date did {SP} die?

IF RESPONDENT ANSWERS "DK," PROBE: "Do you know what month {SP} died?"

MONTH () DAY () YEAR 19()

HIST.RHDOD .RHDODY .RHDODMM
.RHDODDD

BOX RH6	If month or year of death is DK or RF, go to RH3. If day is DK or RF, set Date of Death to last day of month or today's date if month of death = DOI month. Set flag to indicate current end of round reference date was set to the end of the month and flag for retrieval. Go to BOX RH7. Else, go to BOX RH7.
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BOX RH7	If SP is deceased: - Set the current end of round reference period to the date of death from RH8. - If day was DK or RF in RH8, set the date of death from BOX RH6. Otherwise set the end of the current round end of reference period to the current DOI (display "today"). If SSM1 or SSM2, go to RH9. Others go to BOX RH9A.
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PERM.RHREFBY .RHREFBMM .RHREBDD .RHREFBEG PERM.RHREFEND
.RHREFEYY
.RHREFEMM
.RHREFEDD

RH9

What {is/was} {SP's} date of birth?

ENTER A 4-DIGIT YEAR.

MONTH () DAY () YEAR ()

HIST.RHDOB .RHDOBY .RHDOBMM .RHDOBDD

BOX RH9	If year of birth is DK or RF, go to RH10. Otherwise, go to BOX RH9A.
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RH10

Approximately how old {is/was} {SP}?

APPROXIMATE AGE IN YEARS ()

HIST.RHAGE

BOX RH9A	If CFC, FFC, or FCF, go to RH11B. If SSM2 go to RH43PRE. If the SP was a live CFR, go to RH11A. If SP discharged to hospital, not readmitted (HIRO.READMIT=0) and alive (FPER.FALIVE=1,-8,-9), go to RH12B. Else, if SP discharged to hospital, not readmitted (HIRO.READMIT=0) and deceased (FPER.FALIVE=0), go to RH12A. Otherwise, if SP is readmitted (HIRO.READMIT=1) go to RH13. Else go to RH11B.
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RH11A

{Between {DATE OF PREVIOUS ROUND FACILITY INTERVIEW}, (the date of my last visit) and {CURRENT ROUND END OF REFERENCE PERIOD} has {SP} been in {UNIT WHERE SP WAS A RESIDENT ON DATE OF PREVIOUS ROUND INTERVIEW} the whole time or has {she/he} spent one or more nights {in [READ UNITS BELOW],} in a hospital, or in some other place?

YES, WHOLE TIME	1	(BOX RH10)
NO, OTHER PLACE	0	(RH13)
DK	-8	(BOX RH10)
RF	-7	(BOX RH10)

{PLACE ROSTER VERSION 3}

STAY.RHPROBE

RH11B

Between {REF DATE} and today has {SP} been in {FACILITY/ELIGIBLE UNIT} the whole time or has {she/he} spent one or more nights {in [READ UNITS BELOW],} in a hospital, or in some other place?

YES, WHOLE TIME	1	(BOX RH10)
NO, OTHER PLACE	0	(RH13)
DK	-8	(BOX RH10)
RF	-7	(BOX RH10)

{PLACE ROSTER VERSION 3}

STAY.RHPROBE

RH12

Between {RH13 DATE} and today was {SP} in {CURRENT PLACE} the whole time?

YES, WHOLE TIME	1	(BOX RH10)
NO, LEFT	0	(RH13)
DK	-8	(BOX RH10)
RF	-7	(BOX RH10)

STAY.RHPROBE

BOX RH10	<p>If coming from RH11A and RH11A is YES, or coming from RH11B and RH11B is YES, or coming from RH12 and RH12 is YES: If SP is alive or vital status is not known, go to BOX RH27. Else, go to RH12A.</p> <p>Else, if coming from RH11A and RH11A is -8 (DK) or -7 (RF), or coming from RH11B and RH11B is -8 (DK) or -7 (RF), or coming from RH12 and RH12 is -8 (DK) or -7 (RF): If the SP is alive or vital status is not known, go to RH12B. Else, go to RH12A.</p>
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RH12A

Was {she/he} in {CURRENT PLACE} when {she/he} died on {DATE OF DEATH} or somewhere else?

IN {CURRENT PLACE}	1	(BOX RH27)
SOMEWHERE ELSE	0	(RH13)
DK	-8	(BOX RH27)
RF	-7	(BOX RH27)

HIRO.CURDIED

RH12B

Is {she/he} still at {CURRENT PLACE}?

YES	1	(BOX RH27)
NO	0	(RH13)
DK	-8	(BOX RH27)
RF	-7	(BOX RH27)

HIRO.CURSTIL

DATE

<p>RH13</p> <p>START DATE: {START DATE}</p> <p>When did {SP} leave {CURRENT PLACE}?</p> <p>MONTH () DAY () YEAR 19()</p>
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STAY.STAYEND .STAYEYY .STAYEMM .STAYEDD

<p>BOX RH11</p>	<p>If RH13 date is missing or partially missing, go to RH14. Else go to RH21.</p>
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**STAY.STAYSTRT
.STAYSYY
.STAYSMM
.STAYSDD**

<p>RH14</p> <p>About how many nights did {she/he} spend there?</p> <p># OF NIGHTS () (RH21)</p>

STAY.STAYNITE

TIMELINE MATRIX/FORM PLACE ROSTER

RH21

{Where did {she/he} go on {RH13 DATE}/Where is {she/he} living now/
Where was {she/he} staying when {she/he} died on {DATE OF DEATH}/What was the name of that place?}

{PLACE ROSTER VERSION 2}

USE ARROW KEYS. ENTER=SELECT/DESELECT. CTRL/A=ADD. CTRL/D=DELETE. ESC=EXIT.

STAY.XSTPLAC

STAY.RHSELADD

BOX RH13	<p>If place <u>added</u>, go to RH22. Otherwise, (place <u>selected</u>): If coming from pre-stay, go to RH44A. If place selected is a target facility unit, go to BOX RH24. If place selected is a hospital, go to BOX RH15A. Otherwise, go to BOX RH18.</p>
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RH22

Please look at this card and tell me what kind of place {NAME FROM RH21} is.

SHOW CARD RH1

OTHER NURSING HOME/REHAB CENTER	1
PERSONAL CARE HOME/RESIDENTIAL CARE FACILITY ..	2
CCRC/RETIREMENT HOME/CENTER	3
HOSPITAL	4
PRIVATE HOME OR APARTMENT	5
OTHER LTC FACILITY	7
OTHER (SPECIFY: _____)	91

PRESS F1 FOR DEFINITIONS.

PLAC.PLACKIND

.PLACKIOS

.RHPLACTY

BOX RH15	<p>Set place type to answer coded in RH22. If coming from pre-stay and RH22 = 1, go to RH27. Else, if RH22 = 1, 2, or 7 (PLACKIND = 1, 2, or 7), Classify as eligible LTC stay, set flag to search NHPI if not previously searched, and go to BOX RH24. Else if RH22 = 3 (PLACKIND = 3), go to BOX RH18. Else if RH22 = 4 (PLACKIND = 4), classify as hospital stay and go to BOX RH15A. Otherwise (RH22 = 5 or 91 (PLACKIND = 5 or 91), classify as community stay and go to RH30.</p>
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STAY.STAYCLAS

PLAC.NHPIFLAG

HOSPITAL QUESTIONS

BOX RH15A	<p>If hospital not previously searched call LOOKUP module with: place: hospital name from RH21 directory: AHA</p> <p>If LOOK-UP module returned "Not found, interviewer added name and address", or "Not found, interviewer unable to add name and address", or "Found, hospital does not have a SNF wing", classify as hospital stay and go to BOX RH24, Else, LOOK-UP module returned, "Found, hospital does have a SNF wing", go to RH25.</p>
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RH25

Was {SP} staying in a SNF wing or SNF unit of {HOSPITAL NAME FROM RH21}?

YES	1
NO	0
DK	-8
RF	-7

STAY.SNFWING

BOX RH17	<p>If RH25 = "1," classify as eligible LTC stay. Otherwise, classify as hospital stay. Go to BOX RH24.</p>
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STAY.STAYCLAS

PLAC.NHPIFLAG

OTHER PLACE QUESTIONS

BOX RH18	If RH22 = 1, 2, or 7 (PLACKIND=1, 2, or 7), classify as eligible LTC stay, set flag to search on NHPI if not previously searched, and go to BOX RH24. Else if RH22 = 3, (PLACKIND=3) go to RH27.
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RH27

Was {she/he} staying in a nursing wing, nursing unit, assisted living unit, or personal care unit of {PLACE NAME FROM RH21}?

YES	1
NO	0
DK	-8
RF	-7

STAY.NURWING

BOX RH19	If RH27 = 1, classify as eligible LTC stay, set flag to search on the NHPI if not previously searched, and go to BOX RH24. Otherwise, go to RH29.
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RH29

Was {she/he} staying in a private home or apartment at {PLACE NAME FROM RH21}?

YES	1
NO	0
DK	-8
RF	-7

STAY.PRIVHOME

BOX RH21	If RH29 =1, classify as community stay and go to RH30. Else, classify as eligible LTC stay and go to Box RH24.
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STAY.STAYCLAS

RH30

Who {lives/lived} with {her/him} there?

SELECT ALL THAT APPLY.

- | | | |
|-------------|--------------------|------------------------------------|
| 1. ALONE | 8. BROTHER | 15. FRIEND |
| 2. SPOUSE | 9. DAUGHTER-IN-LAW | 16. NURSE/AIDE |
| 3. DAUGHTER | 10. SON-IN-LAW | 17. BOARDER |
| 4. SON | 11. GRANDDAUGHTER | 18. GUARDIAN |
| 5. MOTHER | 12. GRANDSON | 91. OTHER FEMALE RELATIVE, SPECIFY |
| 6. FATHER | 13. NIECE | 92. OTHER MALE RELATIVE, SPECIFY |
| 7. SISTER | 14. NEPHEW | 93. OTHER NONRELATIVE, SPECIFY |

{How many {PLURAL FORM OF RELATIONSHIP CODE} {live/lived} there? ()}

LIVE.LALONE		.LBROT	.LBROTN	.LFRIE	.LFRIEN		
.LSPOUSE		.LDLAW	.LDLAWN	.LNURS			
.LDAUG	.LDAUGN	.LSLAW	.LSLAWN	.LBOAR			
.LSON	.LSONN	.LGDAU	.LGDAUN	.LGUAR			
.LMOM		.LGSON	.LGSONN	.LOFR	.LOFROS	.LOFRN	
.LDAD		.LNEIC	.LNEICN	.LOMR	.LOMROS	.LOMRN	
.LSIS	.LSISN	.LNEPH	.LNEPHN	.LONR	.LONROS	.LONRN	

RH31

When there, {does/did} {SP} receive help with home health services from someone who {is/was} paid?

YES	1
NO	0
DK	-8
RF	-7

PRESS F1 FOR DEFINITION OF PAID HOME HEALTH SERVICES.

STAY.PAIDHELP

BOX
RH24

If coming from pre-stay, go to RH44A.
Else, go to RH12.

PRE-STAY ITEMS

BOX RH26A	If current stay includes 1/1/{CURRENT YEAR} or is Shift/5, go to RH11B. If first time here, go to RH43PRE. Otherwise, go to RH44.
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RH43PRE

Now, I would like to ask you about the places where {SP} stayed before {she/he} came to {FACILITY} on {FAD} including staying in a hospital.

PRESS ENTER TO CONTINUE. (RH44)

RH44

Do you know where {SP} stayed immediately before {she/he} came to {FACILITY/FAD UNIT/PLACE FROM RH21} on {FAD/DATE FROM RH44A}?

YES	1 (RH21)
NO	0 (RH45)

STAY.KNOWPRE

RH44A

When did {she/he} start staying at {PLACE NAME FROM RH21}?
PROBE FOR YEAR.
IF R NEVER WILL KNOW YEAR, ENTER SHIFT/5 IN MONTH.

MONTH () DAY () YEAR 19() (BOX RH26B)

STAY.STAYSTRT .STAYSYY .STAYSMM .STAYSDD

BOX RH26B	If date in RH44A is on or before 1/1/{YEAR}, go to RH11B. Else, go to BOX RH26A.
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RH45

Please tell me the name and title of someone in {FACILITY} who could give me that information.

RECORD RESPONDENT INFORMATION ON PAPER FROG.

PRESS ENTER TO CONTINUE. (RH11B)

NHPI LOOKUP

BOX RH27	If there are no places to search on the NHPI, go to BOX RH31 (tracing contact). Otherwise, go to LOOKPRE.
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LOOKPRE

Now I need to look up the {NUMBER/the} {place/places} you told me about.

PRESS ENTER TO CONTINUE.

BOX RH28	Call LOOKUP module with: place: next place flagged to search NHPI directory: NHPI
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BOX RH29	Go to BOX RH27.
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TRACING CONTACT

BOX RH31	If SP is alive and not a resident of an eligible or ineligible LTC unit of facility, go to RH36. Otherwise, go to RHEND.
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RH36

Earlier you told me {SP} had been discharged to {DISCHARGE PLACE}.

Was {SP} formally discharged from {FACILITY/UNIT} for the stay at {LAST PLACE NAME FROM RH21} that began on {LAST DATE FROM RH13}?

YES	1
NO	0
DK	-8
RF	-7

PRESS F1 FOR DEFINITION OF FORMAL DISCHARGE

HIRO.FORMDIS

RH48

Please tell me the name, address, and telephone number of someone we could contact to keep track of {SP} at {DISCHARGE PLACE}.

CONTACT NAME
STREET ADDRESS
CITY, STATE, ZIP CODE
(AREA CODE) TELEPHONE NUMBER

HIRO.CONTNAME	.CONTSTAT	.CONTLOCL
.CONTADDR	.CONTZIP	
.CONTCITY	.CONTAREA	
	.CONTEXCH	

PROGRAMMER SPEC:

If DK or RF entered in contact name field go to RHEND, otherwise go to RH49.

RH49

What is the relationship of {CONTACT NAME FROM RH48} to {SP}?

SELECT ONE.

- | | | |
|-------------|--------------------|------------------------------------|
| 1. SP | 8. BROTHER | 15. FRIEND/NEIGHBOR |
| 2. SPOUSE | 9. DAUGHTER-IN-LAW | 16. NURSE/NURSE'S AIDE |
| 3. DAUGHTER | 10. SON-IN-LAW | 17. BOARDER |
| 4. SON | 11. GRANDDAUGHTER | 18. GUARDIAN |
| 5. MOTHER | 12. GRANDSON | 91. OTHER FEMALE RELATIVE, SPECIFY |
| 6. FATHER | 13. NIECE | 92. OTHER MALE RELATIVE, SPECIFY |
| 7. SISTER | 14. NEPHEW | 93. OTHER NONRELATIVE, SPECIFY |
| | | -8. DK |
| | | -7. RF |

**HIRO.CONTREL
.CONTREOS**

RHEND

YOU HAVE COMPLETED Residence History FOR THIS SP. THANK RESPONDENT AND PRESS ENTER TO RETURN TO THE NAVIGATION SCREEN.

RHENDB

YOU MUST TRACE THIS SP, PROBE FOR OTHER NURSING HOMES IN THE AREA AND FOR NAME CHANGES FOR THIS NURSING HOME. THANK R AND PRESS ENTER TO RETURN TO THE NAVIGATION SCREEN.