



Applications and Waivers



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Overview of the PACE Application Process

Application Process

- CMS' overall objective is to define an application process that reduces the burden for PACE organizations and CMS reviewers.
- CMS continues to implement process enhancements as it further automates initial and expansion applications.

Application Process Then and Now

- Historically
 - PACE Applications (initials and SAEs) were paper-based
 - Submitted at the discretion of the PO
 - Submission included multiple boxes with numerous binders
- Currently
 - Initial Applications
 - Submitted in HPMS
 - Attestation based with uploads
 - Submitted on quarterly basis
 - SAEs
 - Submitted electronically in an email
 - Transitioning to attestations
 - Submitted on quarterly basis

Application Process Overview

- Notice of Intent to Apply (NOIA)
- Access to CMS Systems
- State PACE Application Process
- Application Attestations and Uploads
- Request for Additional Information
- PACE Part D Application
- Important Dates

NOIA Submission

- Submit NOIA request to the DMAO portal
- Use the NOIA form, which is Attachment B to the December 11, 2015, HPMS memo on the PACE Application
- CMS responds to the NOIA request with a pending contract number

Access to CMS Systems

- Applicant uses the pending contract number to request access to CMS systems
- Process may take 2-4 weeks
- See Attachment C PACE to the December 11, 2015, HPMS memo on the PACE Application for instructions on requesting HMPS access

State Application Process for PACE

- Varies by State
- Follow the specific application process for the State in which the organization is applying to operate a PACE program
- Upload the State assurance (as required by 42 CFR §§460.12(b))

Completing Application

- Complete attestations and upload documentation
- Submit State Assurance
- Hit the “Final Submit” button on the specified date in the HPMS memo

Failure to Hit “Final Submit”

- If an applicant fails to hit “final submit” on the specified date, the pending application will no longer be available
- A new application will be required

Request for Additional Information (RAI)

- If required, an RAI will be generated through the HPMS system
- HPMS will send an email with the RAI to the applicant within the first 90-day review period

Response to the RAI

- Respond to the RAI in HPMS
- Answer all questions and upload any additional requested materials
- Submit the State Readiness Review (SRR)
- Hit “Final Submit” to start the second 90-day review period

Question

Can I change my application after CMS has received it?

- Applicants may submit additional information in response to a request for additional information. Applicants needing to make a material change to their application will need to submit a new application during the next application submission window.

Waiver Requests Submitted Simultaneously with Initial PACE Application

- Applicants may submit a waiver request in conjunction with the initial application
- The applicant must submit the waiver request to the SAA which will forward the waiver request along with any State input regarding the waiver request (see PACE regulations at 42 CFR §460.26(a)(2))
- Waiver requests may be submitted to Kelley.Ordonio@cms.hhs.gov

PACE Part D Application

- Submit Part D application in HPMS
- Must follow the same application deadlines for Part D

Initial Application Milestones

Three milestones in preparing and submitting the PACE application:

- Request HPMS access as a new user
- HPMS window open to complete application
- “Final Submit” deadline

Initial Application Timeframes

Requesting HPMS Access

- If applying in 3rd quarter – request HPMS access between July 1 to July 31, 2016
- If applying in 4th quarter – request HPMS access between October 1 to October 31, 2016

Initial Application Timeframes (cont.)

HPMS Window Open to Complete Initial PACE Application

- 3rd Quarter – August 1 to September 30, 2016
- 4th Quarter – November 1 to December 30, 2016

Initial Application Timeframes (cont.)

Initial PACE Application **2016 Submission Deadlines** – the applicant must hit “**Final Submit**” on this date.

- 3rd Quarter – September 30, 2016
- 4th Quarter – December 30, 2016

Question

Will CMS hold an incomplete application in a “pending” status until the next submission window if an applicant decides not to hit final submit?

- No, CMS does not hold partially completed applications in a pending status. A new application will be required during a future application submission window.

Resources

- A paper version of the application is available for reference at <https://www.cms.gov/Medicare/Health-Plans/PACE/Overview.html>
- On line Application User Manual –available within HPMS. Please see the Documentation tab at the bottom of the green column on the left side of the screen
- Questions may be submitted in the portal at <https://dmao.lmi.org> under the PACE tab
- For a list of State websites for additional information on PACE <https://www.medicaid.gov/medicaid-chip-program-information/by-topics/long-term-services-and-supports/integrating-care/downloads/state-website-list.pdf>

Applications and Waivers



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July 8, 2016

HPMS ePace Automated Application Training

Topics of ePace Application Presentation – HPMS

- Accessing the Health Plan Management System (HPMS)
- User Manuals and Guides
- Notes on Service Area
- ePace Online Application
- Basic Contract Management
- ePace Final Submission Schedule
- Download and Uploads
- Confirmation Number
- Sample Screen Shots
- Submission History
- Contact Information

Accessing the Health Plan Management System (HPMS)

- The online ePace application is housed in the Health Plan Management System (HPMS).
- HPMS is available at the following URL: hpms.cms.gov
- When the pending PACE contract number was generated, an email indicating the pending contract number also included instructions on acquiring CMS User IDs. If that action has not yet been taken, please do so as soon as possible.
- Organizations must have a CMS user ID to access HPMS. It is important to have multiple users with access to HPMS.
- Numerous other activities take place via the HPMS beyond the submission of the online application.
- The ePace online applications are available via the Contract Management module.

User Guides Affiliated with Online Applications

Under the Documentation link, on the Basic Contract Management screen, various manuals and guides are available to assist with completion of the online applications.

- **Basic Contract Management User's Manual** – This manual provides information on completing and maintaining basic information required in Contract Management. These data must be completed prior to Final Submission of any application.
- **Online Application Technical User's Manual** – This manual provides detailed instructions on completing the various sections of online applications. It is a functional guide, common to all online applications.
- **Upload Guides for MA, Part D** – These guides provide technical instructions on how to upload documentation to HPMS in support of the various application types.

IMPORTANT NOTES ON SERVICE AREA!!

- Applicants MUST enter the pending service area prior to uploading the Retail Pharmacy list.
- Applicants may indicate that a county is Full or Partial. The Online Application User Manual provides step-by-step instructions on how to add full or partial counties.
- When selecting a full county, all of the zip codes in that county are part of your service area.
- When adding a partial county, you will be prompted to select the zip codes you are requesting.
- If a zip code crosses into a neighboring county and you wish to cover the zip code in full, applicant must add the neighboring county as well.
- PLEASE refer to the Online Application Technical User's Manual and the Basic Contract Management User's Manual PRIOR to and DURING completion of your online applications!

The ePace Online Application

- To access the ePace and Part D online applications, from the HPMS Home Page: Contract Management > Basic Contract Management > Select a Contract Number > Submit Application Data (note that all of the links found on the Basic Contract Management screen must be completed to submit an application – not just the links on the Submit Application Data screen).
- The ePace Online Application is the automated version of the traditional paper PACE application.
- The ePace Online Application consists of PACE and Part D attestations and uploads.

The ePace Online Application (cont.)

- All information needed to complete the applications are available in HPMS, including templates and Readme files.
- Readme files explain which upload files to group together in one zip file, which section to upload to, and the naming conventions to use for the files.
- One Final Submit button is utilized to submit the PACE and Part D portions of the application. Applicants may only Final Submit in the quarter affiliated with their application. All attestations, uploads, and Basic Contract Management data must be completed prior to hitting Final Submit.

Online Applications – Links

- Click on Submit Application Data
 - Submit Attestations: PACE, Part D
 - Download Templates: PACE, Part D
 - Upload Files: PACE Supporting Files, Part D Supporting Files
 - Final Submission: Submit Final Application, View Confirmation History (this link appears after you have successfully Final Submitted the application)
- All sections of the Basic Contract Management screen must also be completed.

Basic Contract Management – Links

The following links on the Basic Contract Management screen must be accessed and completed prior to Final Submitting the ePace application:

- Basic Contract Data
- Org. Marketing Data
- Plan Management Data (CMS enters data on this screen)
- Offshore Subcontractor Data (Only applicable if your organization utilizes offshore subcontractors)
- Add/Update ACS Data

Basic Contract Management – Links (cont.)

- NAIC Data – May be skipped until CMS enters a Parent Org in HPMS. Not required to hit Final Submit.
- HPID Data (Optional) – Not required to hit Final Submit.
- Part C Data
- Part D Data
- Add Service Area Data
- Contact Data
- Submit Application Data

The ePace Online Application – Final Submit Schedule

- Applicants must submit a completed application in the quarter of their application window by clicking Final Submit. The Final Submit button will only be available in 2016 on the days indicated below.
 - Quarter 1: March 31
 - Quarter 2: June 30
 - Quarter 3: September 30
 - Quarter 4: December 30
- Applicants must hit Final Submit by 5pm Eastern Time

Download Templates

- Download templates exist for each application (PACE and Part D).
- The templates are found on the Submit Application Data page.
- Each zipped set of download templates contains all of the required templates (when they exist) for the uploads which support the various applications.
- The download templates also include Readme files which indicate which templates/uploads are required for each application type.
- NOTE: The Readme file indicates naming conventions of uploaded files and indicates which files to group together and the name of the section where they are uploaded on the PACE Supporting Files or Part D Supporting Files screen.

General Notes About Uploads

- Often, documents must be grouped together in a zipped file before uploading. The PACE and Part D Readme files (found in the appropriate download templates) detail which files to group together and which are uploaded by themselves.
- The Readme Files provide naming conventions for all uploaded files. If no naming convention is listed in the Readme files, use your best judgment and ALWAYS include your contract number in the file name.
- Each upload overwrites the prior upload. Re-uploading a grouped file means you MUST include ALL files in the group in the re-upload!
- If there are items in the download templates which are not indicated for submission in the online application instructions, please verify that you may skip them. Example: If there are other pharmacy upload templates, but they are not required for your application.

Retail Pharmacy Upload

Per the Part D Readme Files, found in the Part D Download Templates, ALL pharmacy upload files should follow this process:

- Download the Retail Pharmacy Template in Excel.
- Complete the template.
- Save the template as .txt files.
- Use the naming convention found in the Part D Readme File.
- Zip the .txt files.
- Upload the file to the Retail Pharmacy upload on the Part D Supporting Files screen.
- You will receive a message indicating a successful upload or indicating errors.
- If errors exist, you must fix the errors and re-upload.


Confirmation Number

- Your application will not be considered complete until you receive a CONFIRMATION NUMBER.
- The Confirmation Number will be issued after you Final Submit your application with no errors identified.
- You will receive a NEW Confirmation Number if you are required to resubmit any part of your application (each time you hit Final Submit).
- To view uploaded documents after you click Final Submit, you will need this confirmation number.
- **Note: Even if you upload a document, the application is not considered complete until you receive a Confirmation Number. Final Submits must occur PRIOR to deadlines!**

Screen Shots

- The following screen shots are examples of the screens you will encounter in completing your ePace application.
- These screen shots are not all-inclusive and are provided for illustrative purposes only.
- Certain links will not appear to PACE Organizations (SNP Data, for example). All links appropriate to PACE organizations will appear appropriately in HPMS.

HPMS Homepage

**HPMS**
Health Plan Management System

NUMBER4 | [User Resources](#) | [Log Out](#) | [A](#) [A](#) [A](#)
Last logged in at 4:30 PM on December 11, 2013

[Contract Management](#) | [Plan Bids](#) | [Plan Formularies](#) | [Monitoring](#) | [Quality and Performance](#) | [Risk Adjustment](#) | [Cost Reports](#) | [Data Extract Facility](#) | [Testing Comments](#)


[Basic Contract Management](#)
[Electronic Contracting](#)
[Contract Reports](#)
[Plan Connectivity Data](#)

01/01/2013 - 01/01/2015 [snapshots](#) TEST #2 For Maricka

01/01/2013 - 05/16/2013 Test [My file 2.](#)

01/01/2013 - 05/16/2013 Test [my file.](#)

[More »](#)




Announcements

There are no active announcements. Click more to view archived announcements.

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[Home](#) | [About HPMS](#) | [Website Accessibility](#) | [Web Policies](#) | [File Formats and Plug-Ins](#) | [Rules Of Behavior](#) | [System Requirements](#) | [FAQ](#)
This is a U.S. Government computer system subject to Federal law.



Contract Management Start Page – Select Contract Number



Contract Selection

Select Contract Number

Documentation

Basic Contract
Management User's
Manual (revised
10/11/2013)

Online Application
User's Manual (revised
01/15/2013)

MA Upload Guide
(revised 01/10/2012)

Part D Upload Guide
(revised 01/10/2012)

SNP Upload Guide
(revised 01/10/2012)

MMP Upload Guide
(new 01/09/2013)

Contact Definitions
(revised 10/01/2013)

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Contract Management Start Page

You will use this module to perform the following actions:

- Enter and maintain information about contracts.

Contract Management Start Page

**HPMS**
Health Plan Management System

BDC_TEST

Health Plan Management System
Home

Contract Selection
Select Contract Number

General Information
Basic Contract Data
Org. Marketing Data
Plan Management Data
Offshore Subcontractor Data
Add/Update ACS Data
NAIC Data
HPID Data

Part C and D Information
Part C Data
Part D Data

Contract Service Area
View Current Service Area Data
Add Service Area Data

Contact Information
Contact Data

Online Application
Submit Application Data

Documentation
Basic Contract Management User Manual (revised 01/13/2016)
Online Application User Manual (revised 01/13/2016)
MA Upload Guide (revised 01/10/2012)
Part D Upload Guide (revised 01/10/2012)
SNP Upload Guide (revised 01/10/2012)
MMP Upload Guide (new 01/09/2013)
Contact Definitions (revised 01/12/2016)

Contract Management Start Page
Contract: H7273
Contract Status: Pending
Effective: 12/1/2016
Contract Name: GREGS PACE PART 2
Organization Type: National PACE
You will use this module to perform the following actions:

- Enter and maintain information about contracts.

Online Application Start Page

Submit Attestations

PACE
Part D

Download Templates

PACE
Part D

Upload Files

PACE Supporting Files
Part D Supporting Files

Final Submission

Submit Final Application

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Online Application


Selected Contract #: Z0001 EXAMPLE CONTRACT 1
Application Type: Initial
Organization Type: National PACE
Plan Type: National PACE

You will use this module to perform the following actions:

- [Submit Attestations.](#)
- [Download Templates.](#)
- [Upload Files.](#)
- [Submit Application.](#)

Go To: [Contract Management Start Page](#)

PACE Attestation Screen

 **HPMS**
Health Plan Management System

Health Plan Management System
[Home](#)

Service Area
Legal Entity and Organizational Structure
Governing Body
Fiscal Soundness
Marketing
Explanation of Rights
Grievances
Appeals
Enrollment
Disenrollment
Personnel Compliance
Program Integrity
Contracted Services
Required Services
Service Delivery
Infection Control
Interdisciplinary Team
Participant Assessment
Plan of Care
Restraints
Physical Environment
Emergency and Disaster
Preparedness
Transportation Services
Dietary Services
Termination
Maintenance of Records & Reporting
Data
Medical Records
Quality Assessment Performance Improvement Program (QAPI)
State Attestations
Waivers
Application Attestation
State Readiness Review

Top of Page
Back

MA Attestations

Selected Contract #: Z0001 EXAMPLE CONTRACT 1
Application Type: Initial
Organization Type: National PACE
Plan Type: National PACE

You will use this module to perform the following actions:

- Enter MA Attestation Information.

Go To: [Online Application Start Page](#) | [Contract Management Start Page](#)

Part D Attestation Screen



HPMS

Health Plan Management System

Health Plan Management System

[Home](#)

Applicant Experience, Contracts, Licensure, and
Financial Stability
Benefit Design
Pharmacy Access
Enrollment and Eligibility
Coordination of Benefits
Tracking Out-of-Pocket Costs
Medicare Secondary Payer
Data Exchange Between Part D Sponsor and CMS
Health Insurance Portability and Accountability Act
Prohibition on Use of SSN or Medicare ID number
on Enrollee ID Cards
Record Retention
PDE Records
Claims Processing

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Part D Attestations

Selected Contract #: Z0001 EXAMPLE CONTRACT 1

Application Type: Initial

Organization Type: National PACE


Plan Type: National PACE

You will use this module to perform the following actions:

- Enter Part D Attestation Information.

Go To: [Online Application Start Page](#) | [Contract Management Start Page](#)

Sample Attestation PACE

**HPMS**
Health Plan Management System

Health Plan Management System
Home

MA Attestations


Enter MA Attestation Information - Service Area

Selected Contract #: Z0001 EXAMPLE CONTRACT 1
Application Type: Initial
Organization Type: National PACE
Plan Type: National PACE

Service Area	
3.1 The purpose of this section is to ensure that all PACE applicants define the proposed geographic area that will be served consistent with the requirements of 42 CFR §460.22, §460.70, and §460.98.	
1. Applicant ensures that contracted services are accessible to participants and located near or within the geographic service area as specified in 42 CFR §460.70(b)(2).	<input type="radio"/> Yes <input type="radio"/> No
2. Applicant agrees to operate at least one PACE center within or contiguous to the geographic service area with capacity to allow routine attendance by participants as specified in 42 CFR §460.98(d)(1).	<input type="radio"/> Yes <input type="radio"/> No
3. Applicant's defined geographic service area does not duplicate a service area covered by another PACE program agreement as permitted in 42 CFR §460.22(a)(b).	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
B. In the Documents Section, provide a detailed map, with a scale of the complete geographic service area that includes county, zip code, street boundaries, census tract or block or tribal jurisdiction and main traffic arteries, physical barriers such as mountains and rivers and location of the PACE center, hospital providers, ambulatory and institutional services sites. Depict on the map the mean travel time from the farthest points on the geographic boundaries to the nearest ambulatory and institutional service sites. If the geographic service area includes an area covered by another PACE organization, identify the duplicate area. Note: The map must be developed in accordance with 42 CFR §460.22, §460.70, and §460.98.	

[Go To: Online Application Start Page](#) | [Contract Management Start Page](#)

Sample Attestation Part D

 HPMS Health Plan Management System	Health Plan Management System Home
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Part D Attestations


Enter Part D Attestation Information - Benefit Design

Selected Contract #: Z0001 EXAMPLE CONTRACT 1
Application Type: Initial
Organization Type: National PACE
Plan Type: National PACE

Benefit Design	
Formulary/P&T Committee A	
1. Applicant will submit a formulary to CMS for the Part D benefit by the date listed in section 1.3 of the application. Applicant will link all associated contracts to an initial formulary submission on or before the formulary submission deadline; otherwise, Applicant will be considered to have missed the formulary submission deadline.	<input type="radio"/> Yes (no Waiver) <input type="radio"/> Yes (requesting Waiver) <input type="radio"/> No
2. Applicant has reviewed, understands, and complies with formulary guidance that is contained in the Code of Federal Regulations (42 CFR §423.120(b)), Chapter 6 of the Prescription Drug Benefit Manual, the HPMS Formulary Submission Module and Reports Technical Manual, and all other formulary instructions.	<input type="radio"/> Yes (no Waiver) <input type="radio"/> Yes (requesting Waiver) <input type="radio"/> No
3. Applicant agrees, when using a formulary, to meet all formulary submission deadlines established by CMS. Applicant further agrees that CMS may discontinue its review of the applicant's formulary submission upon the applicant's failure to meet any of the formulary submission deadlines. Applicant acknowledges that failure to receive CMS approval of its formulary may prevent CMS from approving the applicant's bid(s) and contracting with the applicant for the following benefit year.	<input type="radio"/> Yes (no Waiver) <input type="radio"/> Yes (requesting Waiver) <input type="radio"/> No
Formulary/P&T Committee B	
1. Applicant is using the P&T Committee of its PBH for purposes of the Part D benefit.	<input type="radio"/> Yes <input type="radio"/> No
2. If answered yes to 1. Applicant's PBH is operating under a confidentiality agreement for purposes of the P&T Committee (meaning Applicant has no knowledge of the membership of the PBH's P&T Committee). (If not applicable, check "NO.")	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
3. Applicant has reviewed, understands, and complies with the requirements related to the use and development of a P&T Committee contained in the Code of Federal Regulations (42 CFR §423.120(b)(1)), Chapter 6 of the Prescription Drug Benefit Manual, the HPMS Formulary Submission Module and Reports Technical Manual, and all other guidance related to P&T committees. Note: While the P&T committee may be involved in providing recommendations regarding the placement of a particular Part D drug on a formulary cost-sharing tier, the ultimate decision maker on such formulary design issues is the Part D plan sponsor, and that decision weighs both clinical and non-clinical factors.	<input type="radio"/> Yes <input type="radio"/> No
Formulary/P&T Committee C	
1. If applicant intends to use a formulary for its Part D benefit, then the names of P&T committee members must be provided to CMS either directly by the applicant or by the applicant's PBH. To provide names of P&T committee members directly, enter names in HPMS Contract Management/Part D Data page. If the PBH operates under a confidentiality agreement (where the applicant does not know the membership of the PBH's P&T Committee) refer to Appendix XVI entitled Applicant Submission of P & T Committee Member List and Certification Statement for additional instructions.	
Electronic Prescription Program	
1. Applicant has reviewed, understands, and complies with electronic prescription and Health Information Technology requirements contained in P.L. 111-5 (2009), 42 CFR §423.159, Chapter 7 of the Prescription Drug Benefit Manual, and all related guidance.	<input type="radio"/> Yes (no Waiver) <input type="radio"/> Yes (requesting Waiver) <input type="radio"/> No

[Go To: Online Application Start Page](#) | [Contract Management Start Page](#)

PACE Supporting Files Screen

 **HPMS**
Health Plan Management System

Health Plan Management System
Home

Upload MA Supporting Files for Z0001

Important Note: Please refer to the supplemental [MA application upload technical instructions](#) for guidance in determining the MA supporting files required by your application, preparing these files according to CMS instructions, and uploading these files to HPMS.

Step 1. Enter the name of the File(s) that you would like to upload. If you are unsure of the file name(s) and/or location(s), click on the "Browse" button to locate the file(s).

Please Note:

- File names cannot contain the following characters: '%', '%', '!', '!', '!', '!' or '+!'.
• File names cannot contain two consecutive periods.
• Upload non-password protected .zip files only. Files with password protection or extensions other than .zip will not be accepted.
• Within the zipped file, only files with a .doc, .docx, .xls, .xlsx, .txt, or .pdf will be accepted.

Step 2. Click on the "Upload" button to send the file to HPMS.

Step 3. Wait until the file transfer is complete. After receiving confirmation of the upload, you may complete another upload or select the "Back" button to return to the "Online Application Start Page".

Appeals	<input type="text"/>	<input type="button" value="Browse..."/>
Disenrollment	<input type="text"/>	<input type="button" value="Browse..."/>
Enrollment	<input type="text"/>	<input type="button" value="Browse..."/>
Explanation of Rights	<input type="text"/>	<input type="button" value="Browse..."/>
Fiscal Soundness	<input type="text"/>	<input type="button" value="Browse..."/>
Governing Body	<input type="text"/>	<input type="button" value="Browse..."/>
Grievances	<input type="text"/>	<input type="button" value="Browse..."/>
Legal Entity and Organizational Structure	<input type="text"/>	<input type="button" value="Browse..."/>
Marketing	<input type="text"/>	<input type="button" value="Browse..."/>
Quality Assessment Performance Improvement Program (QAPI)	<input type="text"/>	<input type="button" value="Browse..."/>
Service Area	<input type="text"/>	<input type="button" value="Browse..."/>
State Attestations	<input type="text"/>	<input type="button" value="Browse..."/>
Termination	<input type="text"/>	<input type="button" value="Browse..."/>
Waivers	<input type="text"/>	<input type="button" value="Browse..."/>
Application Attestation	<input type="text"/>	<input type="button" value="Browse..."/>
State Readiness Review	<input type="text"/>	<input type="button" value="Browse..."/>

[Go To: Online Application Start Page](#) | [Contract Management Start Page](#)

Part D Supporting Files Screen

Upload Part D Supporting Files for Z0001

Important Note: Please refer to the supplemental [Part D application upload technical instructions](#) for guidance in determining the Part D supporting files required by your application, preparing these files according to CMS instructions, and uploading these files to HPMS.

Step 1. Enter the name of the File(s) that you would like to upload. If you are unsure of the file name(s) and/or location(s), click on the "Browse" button to locate the file(s).

Please Note:

- File names cannot contain the following characters: '#', '%', ',', '&' or '+'.
- File names cannot contain two consecutive periods.
- Upload non-password protected .zip files only. Files with password protection or extensions other than .zip will not be accepted.
- Within the zipped file, only files with a .doc, .docx, .xls, .xlsx, .txt, or .pdf will be accepted.

Step 2. Click on the "Upload" button to send the file to HPMS.

Step 3. Wait until the file transfer is complete. After receiving confirmation of the upload, you may complete another upload or select the "Back" button to return to the "Online Application Start Page".

Contracting

Program Integrity

Retail Pharmacy

Browse...

Browse...

Browse...

[Back](#)

[Upload](#)

Go To: [Online Application Start Page](#) | [Contract Management Start Page](#)

Final Submission – Missing Data

Final Submission for Z0001

The deadline for you to submit your Final Application has already passed.

To complete the missing information for Contract Management sections, select "Contract Management Start Page" link at the bottom of the page and then select the link corresponding to each section to complete the missing information. To complete the missing information for Online Application sections, select the "Back" button or the "Online Application Start Page" link at the bottom of the page and then select the link corresponding to each section to complete the missing information.

Required Data is Missing for:
Online Application: PACE Attestations <ul style="list-style-type: none">The following PACE Attestations must be completed (174 unanswered):<ul style="list-style-type: none">Service Area (3 unanswered)Legal Entity and Organizational Structure (8 unanswered)Governing Body (3 unanswered)Fiscal Soundness (5 unanswered)Marketing (8 unanswered)Explanation of Rights (6 unanswered)Grievances (6 unanswered)Appeals (12 unanswered)Enrollment (13 unanswered)Disenrollment (14 unanswered)Personnel Compliance (7 unanswered)Program Integrity (12 unanswered)Contracted Services (7 unanswered)Required Services (12 unanswered)Service Delivery (13 unanswered)Infection Control (3 unanswered)Interdisciplinary Team (5 unanswered)Participant Assessment (9 unanswered)Plan of Care (7 unanswered)Restraints (4 unanswered)Physical Environment (4 unanswered)Emergency and Disaster Preparedness (8 unanswered)Transportation Services (6 unanswered)Dietary Services (13 unanswered)Termination (5 unanswered)Maintenance of Records & Reporting Data (5 unanswered)Medical Records (4 unanswered)Quality Assessment Performance Improvement Program (QAPI) (10 unanswered)Waivers (1 unanswered)
Online Application: Part D Attestations <ul style="list-style-type: none">The following Part D Attestations must be completed (30 unanswered):<ul style="list-style-type: none">Applicant Experience, Contracts, Licensure, and Financial Stability (2 unanswered)Benefit Design (7 unanswered)Enrollment and Eligibility (2 unanswered)Coordination of Benefits (1 unanswered)Tracking Out-of-Pocket Costs (1 unanswered)Medicare Secondary Payer (3 unanswered)Data Exchange Between Part D Sponsor and CMS (4 unanswered)Health Insurance Portability and Accountability Act (3 unanswered)Prohibition on Use of SSN or Medicare ID number on Enrollee ID Cards (1 unanswered)Record Retention (1 unanswered)PIR Records (4 unanswered)Claims Processing (1 unanswered)
Online Application: PACE Supporting Documentation <ul style="list-style-type: none">The appropriate PACE Supporting Documentation must be uploaded for CMS to consider this application complete.
Online Application: Part D Supporting Documentation <ul style="list-style-type: none">The appropriate Part D Supporting Documentation must be uploaded for CMS to consider this application complete.

There are quarterly submission windows for PACE applications:

- Q1: March 31
- Q2: June 30
- Q3: September 30
- Q4: December 31

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Final Submission – No Missing Data

Upload Type	Section
HSD Tables	MA Provider Table (12/16/2013 5:53:07 PM) MA Facility Table (12/16/2013 5:55:59 PM)
Pharmacy Lists	Home Infusion Pharmacy List (12/17/2013 10:52:15 AM) Long Term Care Pharmacy List (12/17/2013 10:55:51 AM) Retail Pharmacy List (12/17/2013 11:03:09 AM)
MA Supporting Files	State Licensure (12/16/2013 5:31:05 PM) Service Area (12/16/2013 5:31:05 PM) Provider Contracts & Agreements (12/16/2013 5:31:05 PM) Contracts for Administrative & Management Services (12/16/2013 5:31:05 PM) Part C Application Certification (12/16/2013 5:31:05 PM)
Part D Supporting Files	Contracting (12/16/2013 5:31:39 PM) Program Integrity (12/16/2013 5:31:39 PM) Retail Pharmacy (12/16/2013 5:31:39 PM) Licensure/Solvency (12/16/2013 5:31:39 PM) Attestation Waiver Requests (12/16/2013 5:31:39 PM)

Note: The following HSD table(s) have not unloaded successfully. This will not prevent you from selecting Final Submit but your HSD files will not be sent to the ACC for time and distance review:

- MA Provider
- MA Facility

YOU MUST CLICK FINAL SUBMIT TO MAKE YOUR APPLICATION SUBMISSION OFFICIAL.

Once you click Final Submit, you will receive a confirmation number. Please be sure to print the confirmation screen for your records.

Clicking Final Submit and obtaining a confirmation number means CMS HAS received your application submission.

Failure to click Final Submit and obtain a confirmation number means CMS has not received your application submission.

Click on "Submit" to mark your online application as a final submission (you will no longer be able to make any changes to your online submission).

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Final Submission – Confirmation Number



Health Plan Management System

Health Plan Management System

[Home](#)

Submission Confirmation History Page for Z0001

CONFIRMATION NUMBER: 3000

This page serves as confirmation that CMS has received the documentation your organization has submitted to CMS (through HPMS) in support of its application for qualification for a 2015 Medicare contract. This confirmation makes no representation concerning CMS' determination regarding the accuracy or completeness of your application submission.

Pending Application Contract Number (Initial or SAE): Z0001
Legal Entity Name: EXAMPLE CONTRACT 1
Confirmation Date: 11/27/2013 12:50:30 pm

MA Application

• Attestations - [latest answers](#)

Section	Last Updated
Experience & Organization History	11/27/2013 11:57:10
Administrative Management	11/27/2013 11:57:10
State Licensure	11/27/2013 11:57:10
Program Integrity	11/27/2013 11:57:10
Compliance Plan	11/27/2013 11:57:10
Key Management Staff	11/27/2013 11:57:10
Fiscal Soundness	11/27/2013 11:57:10
Service Area	11/27/2013 11:57:10
CMS Provider Participation Contracts & Agreements	11/27/2013 11:57:10
Contracts for Administrative & Management Services	11/27/2013 11:57:11
Health Services Management & Delivery	11/27/2013 11:57:11
Quality Improvement Program	11/27/2013 11:57:11
Medicare Operations	11/27/2013 11:57:12
Communication between MAO and CMS	11/27/2013 11:57:12
Grievances	11/27/2013 11:57:12
Appeals	11/27/2013 11:57:12
HIPAA	11/27/2013 11:57:12
Continuation Area	11/27/2013 11:57:12

• MA Supporting Files Uploads

Section	Last Updated
Experience & Organization History	11/27/2013 12:24:12 PM
State Licensure	11/27/2013 12:11:16 PM
Key Management Staff	11/27/2013 12:11:16 PM
Fiscal Soundness	11/27/2013 12:11:16 PM
Service Area	11/27/2013 12:11:16 PM
Provider Contracts & Agreements	11/27/2013 12:24:12 PM
Contracts for Administrative & Management Services	11/27/2013 12:24:12 PM
Quality Improvement Program	11/27/2013 12:11:16 PM
Part C Application Certification	11/27/2013 12:11:16 PM

View Submission History

- Once you have Final Submitted an application and received a confirmation number for that submission, you can view the submission data/uploads at a later date.
- From the Online Application Page, click on the “View Confirmation History” link.
- From the View Submission Confirmation History Page, select a Confirmation Number and then click Next.

View Submission History (cont.)

- On the Submission Confirmation History page, you may do the following activities.
 - review the information submitted for the particular confirmation number you selected
 - print the history by clicking the Print button at the bottom of the page
 - click on the links to view attestation answers and uploads
 - print copies of your attestation/upload data
- NOTE: You will only have multiple confirmation numbers if you are required to resubmit information during the course of the application season.

View Submission History (cont.)

Select Confirmation Number for Z0001

Select a confirmation number from the list below:

3000 (12/16/2013 11:03:06 AM)
3001 (11/27/2013 12:50:30 PM)

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Contact Information

- For technical assistance with the HPMS Basic Contract Management Module/Online Applications (email preferred):
Greg Buglio at 410-786-6562 / gregory.buglio@cms.hhs.gov
- For technical assistance with HPMS (how to complete something or assistance with creating zipped uploads):
1-800-220-2028 / hpms@cms.hhs.gov
Note: email is the quickest way to get in touch with the HPMS help desk.
- For questions related to HPMS user access:
hpms_access@cms.hhs.gov
- For questions beyond technical assistance (policy related), access the DMAO web portal at dmao.lmi.org and select PACE.