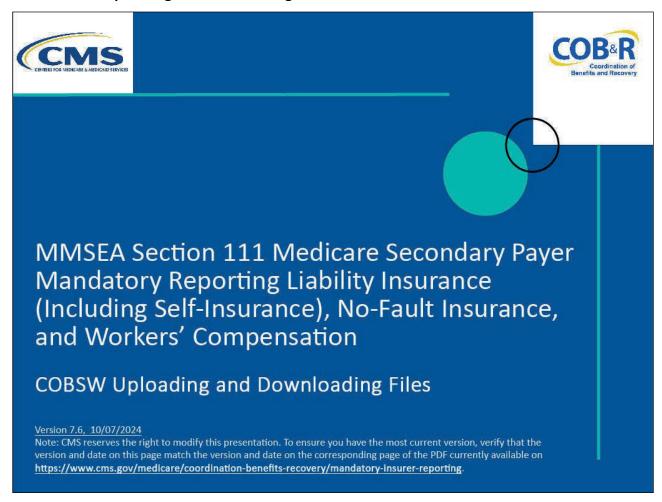
## **Uploading and Downloading Files**

#### Slide 1 of 33 - Uploading and Downloading Files



#### Slide notes

Welcome to the Section 111 Coordination of Benefits (COB) Secure Website Uploading and Downloading Files Course.

#### Slide 2 of 33 - Disclaimer



While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare & Medicaid Services (CMS) instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found at the following link: <a href="https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting">https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting</a>.

#### Slide notes

While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare and Medicaid Services (CMS) instructions for the MMSEA Section 111 implementation.

All affected entities are responsible for following the instructions found at the following link: <a href="Mailto:CMS">CMS</a> <a href="MGHP Website">NGHP Website</a>.

#### Slide 3 of 33 - Course Overview



# **Course Overview**

- Uploading Input Files
  - Submission File Overview
  - File Upload Guidelines
  - Uploading File via HTTPS
  - Severe/Threshold File
  - Submission Errors
- Download Response Files



#### Slide notes

By the end of this course, you will be able to Upload Input Files, which includes Input Submission File Overview, File Upload Guidelines, Uploading Files via Hypertext Transfer Protocol (HTTPS), and Severe/Threshold File Submission Errors, and Downloading Response Files.

NOTE: Liability insurance (including Self-insurance), No-Fault insurance, and Workers' Compensation are sometimes collectively referred to as "Non-Group Health Plan" or "NGHP."

#### Slide 4 of 33 - PAID Act



# PAID Act

The Medicare Secondary Payer (MSP) policy is designed to ensure that the Medicare Program does not pay for healthcare expenses for which another entity is legally responsible. To aid settling parties in determining this information, Congress has enacted the Provide Accurate Information Directly Act also known as the PAID Act requiring that CMS provide Non-Group Health Plans with a Medicare beneficiary's Part C and Part D enrollment information for the past 3 years.

This information will be provided on the COBSW S111/MRA and offline in the NGHP Query Response File. Additionally, CMS has requested that this solution also include the most recent Part A and Part B Entitlement dates.



#### Slide notes

The Medicare Secondary Payer (MSP) policy is designed to ensure that the Medicare Program does not pay for healthcare expenses for which another entity is legally responsible. To aid settling parties in determining this information, Congress has enacted the Provide Accurate Information Directly Act also known as the PAID Act requiring that CMS provide Non-Group Health Plans with a Medicare beneficiary's Part C and Part D enrollment information for the past three years.

This information will be provided on the COBSW S111/MRA and offline in the NGHP Query Response File. Additionally, CMS has requested that this solution also include the most recent Part A and Part B Entitlement dates.

Note: To support the PAID Act, the Query Response File will be updated to include Contract Number, Contract Name, Plan Number, Coordination of Benefits (COB) Address, and Entitlement Dates for the last three years (up to 12 instances) of Part C and Part D coverage. The updates will also include the most recent Part A and Part B entitlement dates.

#### Slide 5 of 33 - Testing Process

# Input Files Submission Overview



Account Manager

- Select HTTPS
- Completes Account Setup



Sign and return profile report



**Testing Status** 

 Can upload and download test submission

#### Slide notes

In order for a Responsible Reporting Entity (RRE) to upload or download files via HTTPS on the Section 111 COBSW, the Account Manager must select HTTPS as the file transmission method during Account Setup.

After Account Setup has been completed and the signed profile report has been returned to the Benefits Coordination & Recovery Center (BCRC), the RRE ID will be in a Testing status and they can begin uploading/downloading test file submissions.

#### Slide 6 of 33 - Input File Submission Overview



# Input File Submission Overview

- Email
  - Sent to authorized representative and Account Manager
  - Notifies that RRE ID is in the Testing status
- Testing
  - Can be uploaded after RRE ID status is changed to Testing
  - Can also be submitted after RRE ID status changed to Production to test changes



#### Slide notes

An email is sent to the Authorized Representative and Account Manager to notify them that the RRE ID is in a Testing status.

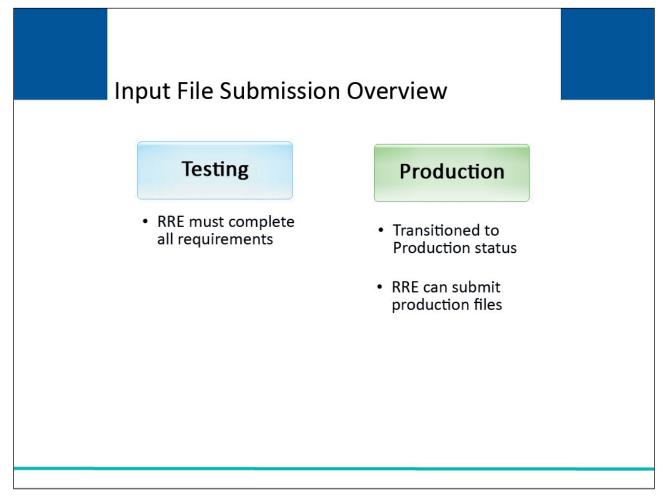
At this point the RRE may begin sending test file submissions.

Test files can only be uploaded after the RRE ID status has been changed to Testing status.

Test files can still be submitted after the RRE ID status has been changed to Production status if they need to test internal changes.

Refer to the <u>Section 111 COBSW Monitor Test File Processing</u> course for more information about test file processing.

#### Slide 7 of 33 - Input File Submission Overview



#### Slide notes

The RRE ID will remain in a Testing status until all Testing requirements have been completed.

Please review the Testing requirements documented in the Section 111 NGHP User Guide.

Once testing has been satisfactorily completed, the RRE ID will be transitioned from a Testing to a Production status and the RRE can begin submitting production files.

#### Slide 8 of 33 - Input File Submission Overview



# File uploads can be performed through the Section 111 COBSW

- Available to RREs that selected HTTPS
- By Account Managers/Account Designees

#### Slide notes

File uploads can be performed directly through the Section 111 COBSW using HTTPS protocol.

This option is only available to RREs that selected HTTPS during registration.

Both Account Managers and Account Designees can upload submission files.

## Slide 9 of 33 - File Upload Guidelines



# File Upload Guidelines

# **NGHP Submitters:**

- · Claim Input File
- TIN Reference File
- Query Only File (submitted once quarterly)

#### Slide notes

NGHP submitters who have chosen HTTPS as their file submission method can upload the following Test and Production files:

- the Claim Input File,
- the TIN Reference File, and
- the Query Only File.

Note: The Query Only File can only be submitted once per quarter.

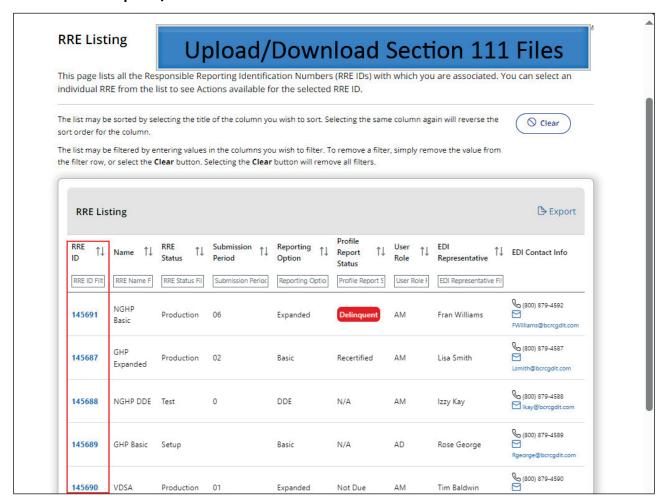
# Slide 10 of 33- Upload/Download Section 111 Files



#### Slide notes

Users associated with the RRE's account will login to the NHGP Section 111 application on the COBSW at <u>Section 111 COBSW</u>.

Slide 11 of 33 - Upload/Download Section 111 Files

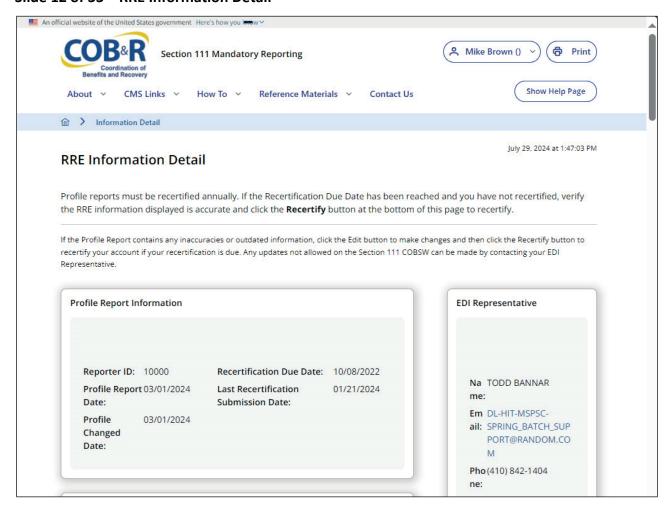


#### Slide notes

After a successful login, the RRE Listing page will appear.

This page lists all of the RRE IDs to which you are associated. To access a specific RRE ID, select the RRE ID link.

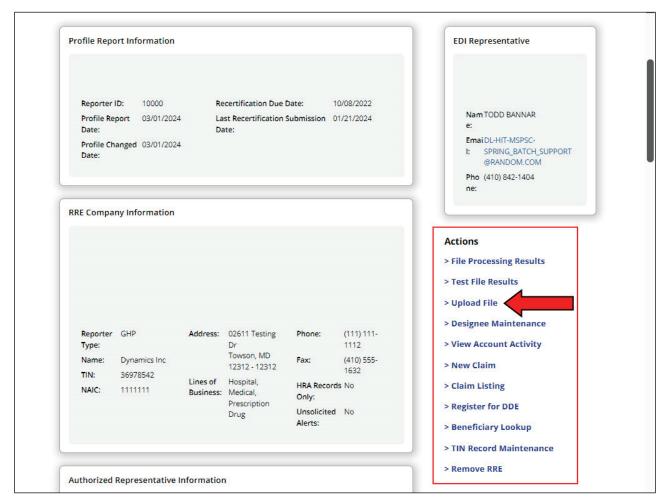
#### Slide 12 of 33 - RRE Information Detail



#### Slide notes

The RRE Information Detail page will appear. Use the scroll bar on the far right-hand side of the page to scroll down to the Actions links.

#### Slide 13 of 33 - File Status



#### Slide notes

File status is displayed on the File Listing page under the associated RRE ID.

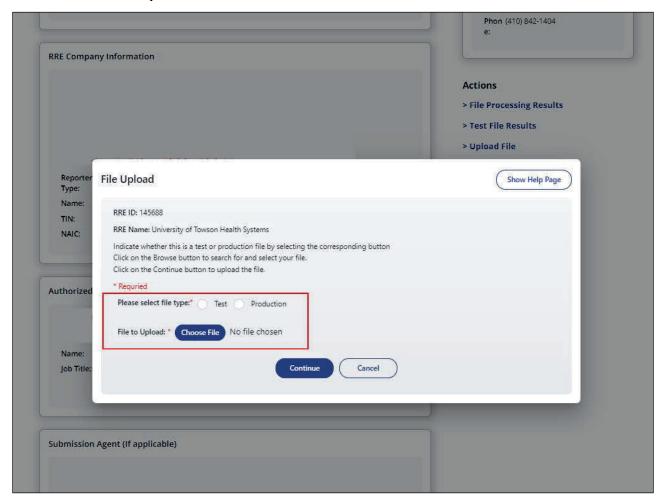
As files are received by the application, file information is saved and stored on a database and a status code is used to track the file as it processes through the system.

If there are errors in the file, it will have to be corrected and resubmitted as soon as possible.

RREs with questions about file status should contact their assigned Electronic Data Interchange (EDI) Representative.

From this page select Upload File from the Actions links.

#### Slide 14 of 33 - File Upload

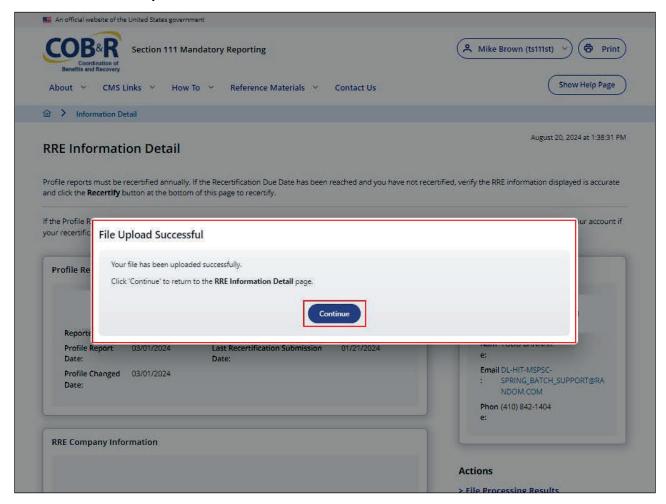


#### Slide notes

The File Upload pop-up will appear for the selected RRE.

- 1. Specify the type of file to be uploaded, Test or Production.
- 2. Click 'Choose File' to specify the file location and file name to be uploaded, or type in the file path and name if you know it.
- 3. Click Continue. Do not logoff of the Section 111 COBSW or close any associated browser window until the file upload is complete.

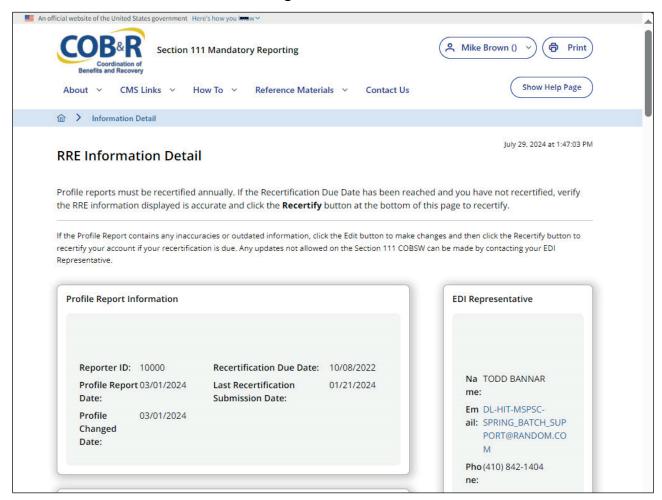
#### Slide 15 of 33 - File Upload Success



#### Slide notes

If the file has been uploaded successfully, the File Upload Successful pop-up will appear. Click continue.

#### Slide 16 of 33 - RRE Information Detail Page



#### Slide notes

The RRE Information Detail page will re-appear.

#### Slide 17 of 33 - HTTPS Uploaded Files

# **HTTPS Uploaded Files**

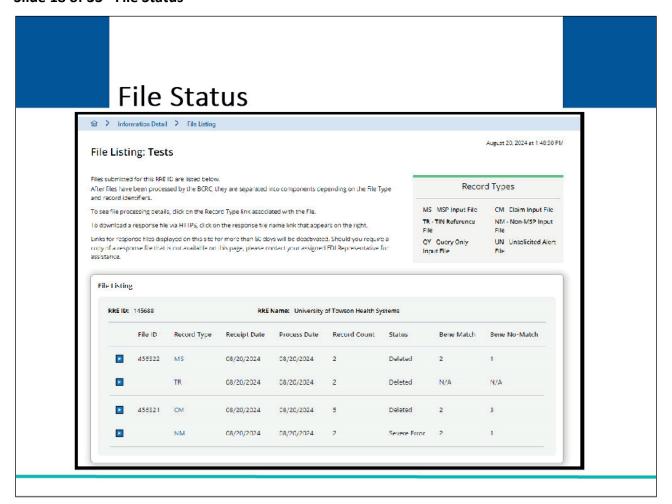
- · Cannot be viewed or deleted
- If uploaded in error, the Electronic Data Interchange (EDI) Representative must be contacted

#### Slide notes

Once a file has been successfully uploaded to the Section 111 COBSW, it cannot be viewed or deleted. If a file is uploaded in error, the RRE does not have the ability to delete it.

They must contact their Electronic Data Interchange (EDI) Representative for assistance.

#### Slide 18 of 33 - File Status



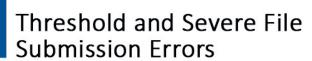
#### Slide notes

As files are received by the application, file information is saved and stored on a database, and a status code is used to track the file as it processes through the system.

This file status is displayed on the File Listing page under the associated RRE ID.

For more information on how to monitor file processing results, please view the <u>NGHP User Guide</u> and <u>COBSW Monitor Test File Processing</u>.

#### Slide 19 of 33 - Severe File Submission Errors



Files may be rejected or suspended from processing due to severe errors

- File header record
- File header record does not contain a valid Section 111 RRE ID
- · Threshold checks

#### Slide notes

Submitted files may be rejected or suspended from processing due to severe errors (such as, file received without header record or file header record does not contain a valid Section 111 RRE ID) or if they meet certain threshold checks.

Please refer to the <u>NGHP User Guide</u> and the <u>COBSW Monitor File Processing CBTs</u> for more information on these edits.

#### Slide 20 of 33 - HTTPS Response Files



- Sent to RRE using the same transmission method as uploaded files
- · Available on the File Listing page
  - Displays results after the BCRC has processed
- Email sent to Account Manager when a response file is ready

#### Slide notes

Response files are sent to the RRE using the same transmission method that was selected for input files.

Files transmitted using the HTTPS method will have response files available for download on the File Listing page.

This page displays the results after the BCRC has processed your input files.

The system will send an email to the Account Manager assigned to the RRE ID when a response file is ready on the Section 111 COBSW.

#### Slide 21 of 33 - Download Response Files



- File downloads can be performed through the Section 111 COBSW- NGHP Portal
- RRE has option to change file submission method
  - Contact EDI Representative

#### Slide notes

Test and Production Response file downloads can be performed directly through the <u>Section 111</u> <u>COBSW</u>.

This option is only available to RREs that selected HTTPS during Account Setup.

The RRE has the option of changing the electronic file submission methodology; however, you must contact your EDI Representative to make this change for you.

#### Slide 22 of 33 - Download Response Files



- Account Managers and Account Designees can download
- RREs or their agents must develop software
  - Process response files
  - Take Actions on disposition codes and other information

#### Slide notes

Both Account Managers and Account Designees associated with the RRE ID can download response files.

RREs, or their agents, must develop software to process response files and take actions on the disposition codes and other information provided.

For guidance on interpreting response files, refer to the Section 111 NGHP User Guide.

## Slide 23 of 33 - Download Response Files



# NGHP submitters:

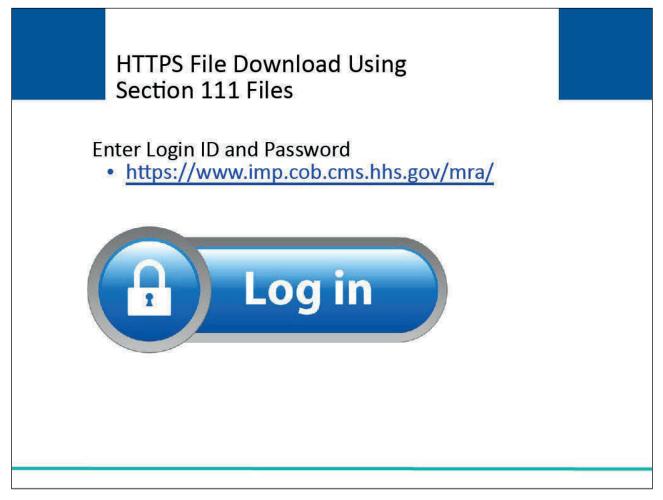
- Claim Response File
- Query Response File
- TIN Reference Response File

#### Slide notes

NGHP submitters who have chosen HTTPS as their file submission method can download the following Test and Production files:

- Claim Response File,
- Query Response File, and
- TIN Reference Response File.

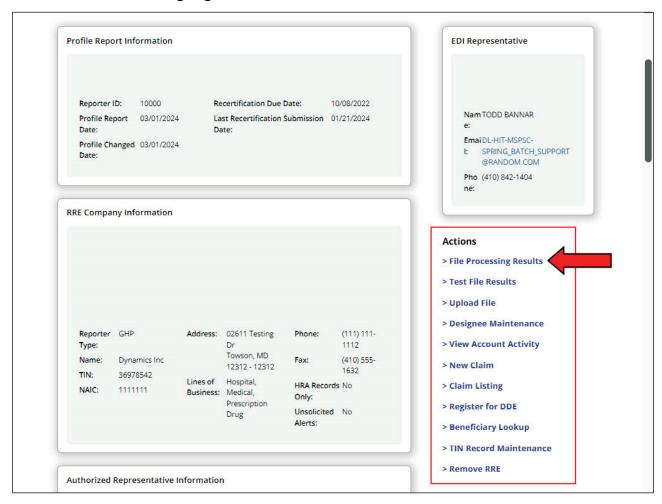
#### Slide 24 of 33 - Download Section 111 Files



#### Slide notes

To begin the download process for production files, the RRE must successfully login to the Section 111 application on the COBSW at <u>Section 111 COBSW</u>.

#### Slide 25 of 33 - RRE Listing Page

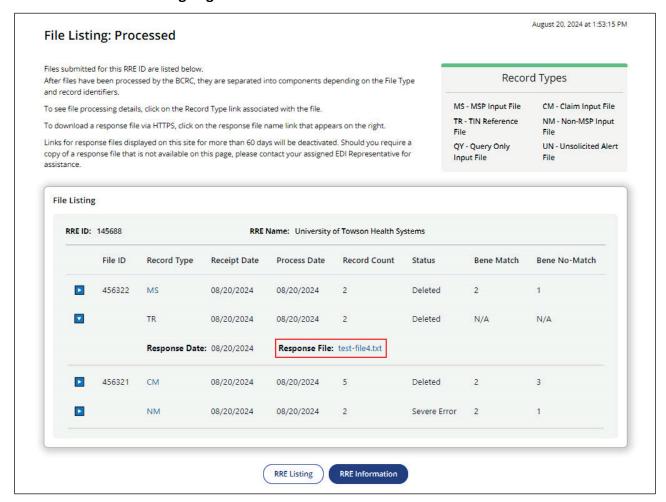


#### Slide notes

From the RRE Information Detail page, select the File Processing Results from the Actions links listed for the applicable RRE ID.

If the RRE is downloading test files, select Test File Results from the Actions links.

#### Slide 26 of 33 - RRE Listing Page



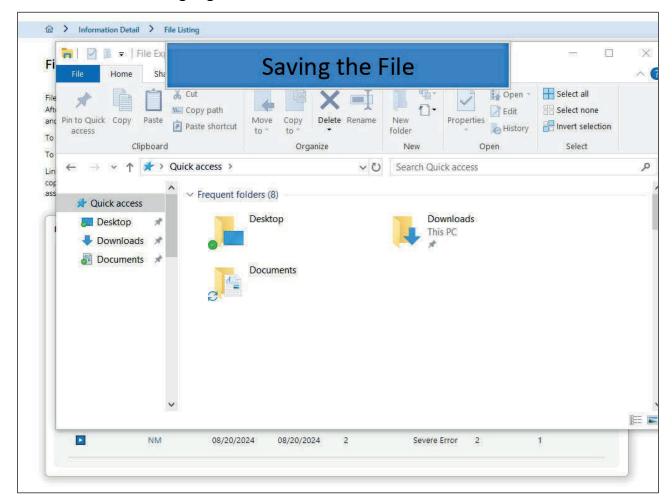
#### Slide notes

If File Processing Results was selected, the File Listing page of production files previously submitted and their corresponding response files will display for the RRE ID.

If Test File Results was selected, the File Listing page of test files previously submitted and their corresponding response files will display for the RRE ID

Click the link formed by the response file name associated with the completed file.

#### Slide 27 of 33 - File Listing Page



#### Slide notes

The Save dialog box will appear.

Select a location on your computer, or network, from the Save In field at the top of the Save dialog box.

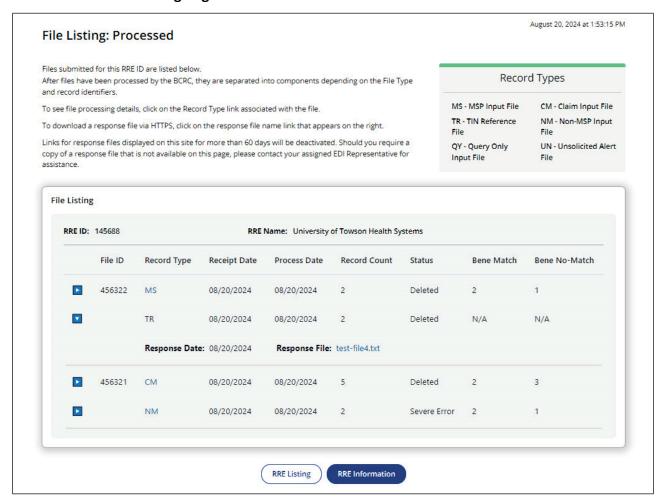
Enter a file name of your choosing in the File Name field.

Note: Leave the File Download dialog box and all browser pages open during the download.

Do not log off, or close, your browser.

You must stay logged on to the Section 111 COBSW with an active session during the download process.

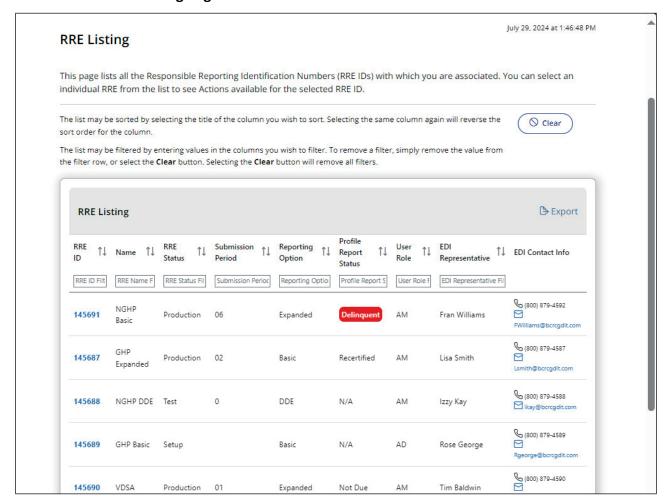
#### Slide 28 of 33 - File Listing Page



#### Slide notes

After completing your download, click RRE Listing button or the RRE Information button at the bottom of the page to return to the corresponding pages.

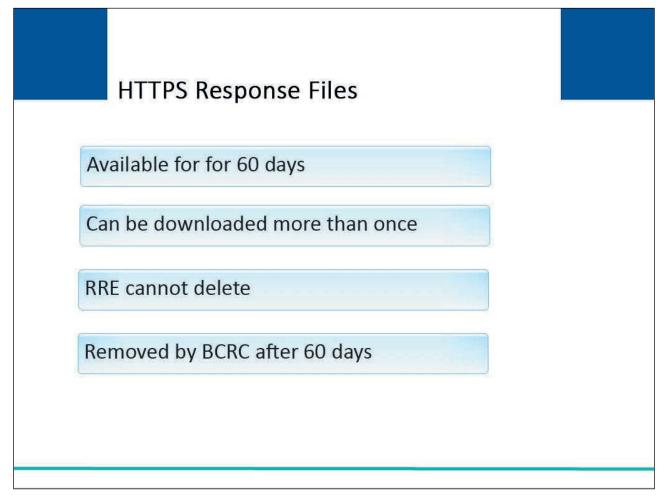
#### Slide 29 of 33- RRE Listing Page



#### Slide notes

The system then displays the RRE Listing page for this example or the RRE Information Detail page is that was the button selected.

#### Slide 30 of 33 - Severe File Submission Errors



#### Slide notes

HTTPS Response files will remain available for downloading for 60 days.

There is no limit to the number of times a file can be downloaded in that time.

The RRE cannot delete response files from the Section 111 COBSW.

The BCRC will remove these files automatically after 60 days.

#### Slide 31 of 33 - Course Summary



# **Course Summary**

- · Uploading Input Files
  - Submission File Overview
  - File Upload Guidelines
  - Uploading File via HTTPS
  - Severe/Threshold File
  - Submission Errors
- Download Response Files



#### Slide notes

This course has provided a high-level overview on the testing process, reporting agent testing, threshold and severe file submission errors, and viewing test results on the Section 111 COBSW.

#### Slide 32 of 33 - Conclusion





You have completed the COBSW Uploading and Downloading Files course. Information in this course can be referenced by visiting the CMS Section 111 Website. <a href="https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting.">https://www.cms.gov/medicare/coordination-benefits-recovery/mandatory-insurer-reporting.</a>

#### Slide notes

You have completed the COBSW Uploading and Downloading Files in the COBSW Section 111 course. Information in this course can be referenced visiting the <a href="Mailto:CMS NGHP Website">CMS NGHP Website</a>.

## Slide 33 of 33 - NGHP Training Survey





If you have any questions or feedback on this material, please go to the following URL: <a href="https://www.surveymonkey.com/s/NGHPTraining">https://www.surveymonkey.com/s/NGHPTraining</a>.

#### Slide notes

If you have any questions or feedback on this material, please go to the following URL: <u>NGHP Training Survey</u>.