

Initial Pool Process – Limited Record Review

Care Area	Probe	Response Options
For any resident marked as non-interviewable, refused, unavailable or out of facility the following areas should be reviewed in the record regardless of whether the area is an indicator for the resident.		
Pressure Ulcers	<ul style="list-style-type: none"> Did the resident develop a pressure ulcer in the facility that has not healed? Did the resident have a pressure ulcer that worsened and hasn't improved recently? Does the resident currently have a pressure ulcer that became infected in the facility? <p>Note: Exclude Stage 1 pressure ulcers.</p>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Dialysis	<ul style="list-style-type: none"> Is the resident receiving peritoneal dialysis or hemodialysis? 	<p>No Issues</p> <p>Further Investigation</p> <p>NA</p> <p>MDS Discrepancy</p>
Nutrition	<ul style="list-style-type: none"> Did the resident have an unplanned weight loss of 5% or more in the last month or 10% or more in the last 6 months? Does the resident still have weight loss? <p>Note: Exclude residents currently receiving hospice or end of life services.</p>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Respiratory Infection	<ul style="list-style-type: none"> Does the resident currently have a respiratory infection? 	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Urinary Tract Infection (UTI)	<ul style="list-style-type: none"> Does the resident currently have a UTI? 	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Infections (not UTI, Pressure Ulcer, or Respiratory)	<ul style="list-style-type: none"> Does the resident currently have any other infection (e.g., surgical infection, eye infection, C. difficile, sepsis, or gastroenteritis such as norovirus)? 	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>

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Falls	<ul style="list-style-type: none"> Did the resident have a fall(s) with major injury in the last 120 days? 	No Issues/NA Further Investigation MDS Discrepancy
ADL Decline	<ul style="list-style-type: none"> Has the resident had a decline in their bed mobility, transfer, eating or toilet use recently and is not receiving therapy or restorative for the decline in the last 120 days? 	No Issues/NA Further Investigation MDS Discrepancy
Low Risk B&B	<ul style="list-style-type: none"> Is the resident incontinent of bowel or bladder and not at a high risk for incontinence issues? <p>Note: High risk means the resident is cognitively impaired, receives hospice or end of life services, or requires extensive to total assistance from staff with bed mobility, transfers, toileting or locomotion.</p>	No Issues/NA Further Investigation MDS Discrepancy
Hospitalization	<ul style="list-style-type: none"> Was the resident re-hospitalized in the last 120 days? 	No Issues/NA Further Investigation MDS Discrepancy
Elopement	<ul style="list-style-type: none"> Is the resident at risk for elopement? Has the resident eloped in the last 120 days? 	No Issues/NA Further Investigation MDS Discrepancy
Change of Condition	<ul style="list-style-type: none"> Has the resident had a change of condition in the last 120 days that was not identified, monitored or treated appropriately? 	No Issues/NA Further Investigation
For all residents who are observed during the initial pool process, the record is reviewed for high risk meds and PASRR only if the resident has the indicator present.		
Insulin	<ul style="list-style-type: none"> Is the resident currently receiving insulin? 	Yes No MDS Discrepancy
Anticoagulant	<ul style="list-style-type: none"> Is the resident currently receiving an anticoagulant? 	Yes No MDS Discrepancy

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Antipsychotic with Alzheimer's or Dementia	<ul style="list-style-type: none"> Is the resident currently receiving an antipsychotic and has a diagnosis of Alzheimer's or dementia? 	Yes No MDS Discrepancy
Antibiotic	<ul style="list-style-type: none"> Is the resident currently receiving an antibiotic? 	Yes No MDS Discrepancy
Antipsychotic with New Schizophrenia Diagnosis	<ul style="list-style-type: none"> Is the resident currently receiving an antipsychotic and has a new diagnosis of schizophrenia? 	Yes No MDS Discrepancy
<i>New Schizophrenia Diagnosis No Antipsychotic</i>	<ul style="list-style-type: none"> <i>Does the resident have a new diagnosis of schizophrenia?</i> 	<i>Yes</i> <i>No</i> <i>MDS Discrepancy</i>
PASRR	<ul style="list-style-type: none"> Was a Level II PASRR adequately completed and determined the resident does not qualify for Level II services even though the resident has a serious mental illness, ID or other organic condition related to ID/DD? 	No Issues/NA Further Investigations MDS Discrepancy
For all residents who are observed during the initial pool process, the record is reviewed for Advanced Directives and Other.		
Advance Directives	<ul style="list-style-type: none"> Does the resident have an advance directive in place? If information is kept in two places (e.g., EHR and the hard chart), ensure the information matches. 	No Issues/NA Further Investigation
Other	<ul style="list-style-type: none"> Did you have any additional concerns that you identified from the record? 	No Issues/NA Further Investigation
For new admissions added to the Resident Listing who are observed during the initial pool process (i.e., they don't have an MDS), the record is reviewed for high risk meds.		
High Risk Meds	<ul style="list-style-type: none"> Is the resident currently receiving any of the following medications at least one time in the last 30 days? (Mark all that apply) Antipsychotic Antianxiety Antidepressant Hypnotic 	Select all that apply.

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	Anticoagulant Antibiotic Diuretic Insulin Opioids None of the above Note: Do not code aspirin or Plavix as an anticoagulant. Code medications according to a drug’s pharmacological classification, not how it is used.	
Diagnoses	<ul style="list-style-type: none"> • Does the resident have any of the following diagnoses? (Mark all that apply) Alzheimer’s or dementia Huntington’s syndrome Tourette’s Syndrome Manic Depression (bipolar disease) Schizophrenia Cerebral Palsy Multiple Sclerosis Seizure Disorder/Epilepsy None of the above 	Select all that apply.
New Schiz and Antipsychotic	<ul style="list-style-type: none"> • Is the resident at least 65 years of age, receiving an antipsychotic, and has a new diagnosis of schizophrenia since admission? 	Yes No
<i>New Schizophrenia Diagnosis No Antipsychotic</i>	<ul style="list-style-type: none"> • <i>Is the resident at least 65 years or older and has a new diagnosis of schizophrenia since admission?</i> 	<i>Yes</i> <i>No</i>
Hospice	<ul style="list-style-type: none"> • Is the resident receiving hospice, end of life, or palliative care services? 	Yes No MDS Discrepancy