

Variable Name	MR Screen Name	Question Type	Question Text/Description	Code List	Routing
			INTRODUCTION QUESTIONNAIRE SPECIFICATIONS <u>CRITERIA</u> INTTYPE=ALL SPALIVE=ALL SEASON=ALL SP_PROXY=SP or PROXY Other: N/A <u>PLACEMENT</u> (Start of interview)		
QUEXLANG	QUEXLANG	code one	PLEASE SELECT THE LANGUAGE IN WHICH YOU WOULD LIKE TO CONDUCT THE INTERVIEW.	(01) ENGLISH (02) SPANISH	PHONINQ
PHONINQ	PHONINQ	code one	ARE YOU CURRENTLY CONDUCTING THIS INTERVIEW IN-PERSON OR ON THE PHONE?	(01) IN-PERSON (02) PHONE	INV1- CARIVER
CARIVER	INV1	code one	Some of this interview will be recorded for quality control purposes. I'd like to continue now, unless you have any questions.	(01) RESPONDENT AGREES TO CONTINUE WITH RECORDING (02) RESPONDENT DOES NOT WANT TO BE RECORDED	(01) IN1AA - ATDOOR (02) INV2 - NOCARI
NOCARI	INV2	no entry	That's fine. The interview will not be recorded.		IN1AA - ATDOOR
ATDOOR	IN1AA	no entry	REVIEW WITH THE RESPONDENT THE FOLLOWING IMPORTANT FACTS FROM THE "AT-THE-DOOR" SHEET: All survey information will be kept private to the extent permitted by law, as prescribed by the Privacy Act of 1974. Medicare benefits will not be affected in any way by survey responses or participation. REFER TO THE "AT-THE-DOOR" SHEET IF THE RESPONDENT NEEDS ADDITIONAL REASSURANCE.		IN2 - VERIFYSP
VERIFYSP	IN2	yes/no	VERIFY THE SP'S NAME. IS THE SP'S NAME CORRECT AND COMPLETE? FIRST NAME: (SP'S FIRST NAME) MIDDLE INITIAL: (SP'S MIDDLE INITIAL) LAST NAME: (SP'S LAST NAME)	(01) YES (02) NO	(01) INS1- SPASTATUS (02) IN3 - ROSTFNAM
ROSTFNAM	IN3	text	MAKE ALL NECESSARY CORRECTIONS TO THE SP'S NAME. FIRST NAME:		IN3 - ROSTMINI
ROSTMINI	IN3	text	MAKE ALL NECESSARY CORRECTIONS TO THE SP'S NAME. MIDDLE INITIAL:		IN3 - ROSTLNAM

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ROSTLNAM	IN3	text	MAKE ALL NECESSARY CORRECTIONS TO THE SP'S NAME. LAST NAME:		INS1- SPAISTATUS
SPAISTATUS	INS1	code one	PLEASE INDICATE THE RESPONDENT'S CURRENT STATUS. IF THE CASE IS A PROXY INTERVIEW AND YOU HAVEN'T TALKED ABOUT THE RESPONDENT'S VITAL STATUS, PROBE AT THIS TIME ABOUT WHETHER THE RESPONDENT IS ALIVE OR DECEASED AND WHERE THE RESPONDENT IS LOCATED. WHEN WE REFER TO INSTITUTIONALIZED, WE ARE REFERRING TO THE MCBS DEFINITION OF A FACILITY. FOR THE FULL MCBS DEFINITION OF A FACILITY, CLICK F1. PLEASE REMEMBER THAT HOSPITALS AND HOSPICE CARE ARE NOT FACILITIES UNDER THE MCBS DEFINITION, SO RESPONDENTS IN HOSPITALS AND HOSPICE CARE SHOULD NOT BE CONSIDERED TO BE INSTITUTIONALIZED BELOW. IS THE RESPONDENT CURRENTLY:	(01) ALIVE AND NOT INSTITUTIONALIZED (02) ALIVE AND INSTITUTIONALIZED (03) DECEASED - DIED IN COMMUNITY (04) DECEASED - DIED IN INSTITUTION/FACILITY	(01) BOX INS1 (02) INS2 - SPINSTMM (03) INS3 - SPDIEMM (04) INS2 - SPINSTMM
SPINSTMM	INS2	date	What was the first date [(since [REFERENCE DATE] that [SP])/([that SP])] entered the facility? [EXPLAIN IF NECESSARY: By "facility" we mean a place that provides long term care. By "first date" we mean the earliest date that the beneficiary enters any facility and does not enter a hospital or return home.] IF MORE THAN ONE DATE, ENTER THE EARLIEST.	(01) continuous answer (-8) Don't Know (-9) Refused	IN2 - SPINSTDD
SPINSTDD	INS2	date	What was the first date [(since [REFERENCE DATE] that [SP])/([that SP])]entered the facility? [EXPLAIN IF NECESSARY: By "facility" we mean a place that provides long term care. By "first date" we mean the earliest date that the beneficiary enters any facility and does not enter a hospital or return home.] IF MORE THAN ONE DATE, ENTER THE EARLIEST.	(01) continuous answer (-8) Don't Know (-9) Refused	SPINSTYY
SPINSTYY	INS2	date	What was the first date [(since [REFERENCE DATE] that [SP])/([that SP])] entered the facility? [EXPLAIN IF NECESSARY: By "facility" we mean a place that provides long term care. By "first date" we mean the earliest date that the beneficiary enters any facility and does not enter a hospital or return home.] IF MORE THAN ONE DATE, ENTER THE EARLIEST.	(01) continuous answer	BOX INSA
	BOX INSA	routing	IF (INS1 - SPAISTATUS = 4/DeceasedInInstitute) GO TO INS3 - SPDIEMM. ELSE GO TO BOX INSA1.		
SPDIEMM	INS3	date	On what date did (SP) die?	(01) continuous answer (-8) Don't Know (-9) Refused	(01) INS3 - SPDIEDD (-8) INS3 - SPDIEDD (-9) INS3 - SPDIEDD
SPDIEDD	INS3	date	On what date did (SP) die?	(01) continuous answer (-8) Don't Know (-9) Refused	(01) INS3 - SPDIEYY (-8) INS3 - SPDIEYY (-9) INS3 - SPDIEYY

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SPDIEYY	INS3	date	On what date did (SP) die?	(01) continuous answer (-8) Don't Know (-9) Refused	(01) BOX INSA1 (-8) BOX INSA1 (-9) BOX INSA1
	BOX INSA1	routing	IF (SPDIEMM or SPINSTMM IN(-8,-9) OR SPDIEYY or SPINSTYY IN(-8,-9) THEN GO TO INS3B-INTHANK. ELSE IF (INS1 - SPAISTATUS = 3/Deceased) AND (INTTYPE in(3,7,10)) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0) AND (SP'S DATE OF DEATH ENTERED AT INS3 IS BEFORE JANUARY 1 OF THE CURRENT YEAR), GO TO INS3A - DEASDATE. ELSE IF (INS1 - SPAISTATUS = 4/DeceasedInInstitute) AND (INTTYPE in(7,10)) AND (SP'S DATE OF INSTITUTIONALIZATION ENTERED AT INS2 AND SP'S DATE OF DEATH ENTERED AT INS3 ARE BOTH PRIOR TO JANUARY 1 OF CURRENT YEAR) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0), GO TO INS3A - DEASDATE. ELSE IF(INS1 - SPAISTATUS = 4/DeceasedInInstitute) AND (INTTYPE in(7,10)) AND (SP'S DATE OF INSTITUTIONALIZATION ENTERED AT INS2 IS PRIOR TO JANUARY 1 OF CURRENT YEAR) AND (SP'S DATE OF DEATH ENTERED AT INS3 IS ON OR AFTER JANUARY 1 OF CURRENT YEAR) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0), GO TO INS3A1 - INSTDATE. ELSE IF (INS1 - SPAISTATUS = 2/AliveAndInstitute) AND (INTTYPE in(7,10)) AND (SP'S DATE OF INSTITUTIONALIZATION ENTERED AT INS2 IS BEFORE JANUARY 1 OF THE CURRENT YEAR) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0), GO TO INS3A1 - INSTDATE. ELSE IF(INS1-SPAISTATUS = 2/AliveAndInstitute) AND INTTYPE = 3, GO TO INS3B-INTHANK ELSE IF (INS1-SPAISTATUS = 3/Deceased) AND INTTYPE = 3 AND SP'S DATE OF DEATH ENTERED AT INS3 IS PRIOR TO JANUARY 1, GO TO INS3A-DEASDATE ELSE IF (INS1-SPAISTATUS = 4/DeceasedInInstitute) AND INTTYPE = 3, GO TO INS3B-INTHANK ELSE GO TO BOX INS1.		
DEASDATE	INS3A	no entry	YOU HAVE ENTERED THAT THE SP, (SP), DIED BEFORE JANUARY 1ST OF [CURRENT YEAR]. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND ENTER THE CORRECT DATE AT INS3. IF THIS IS CORRECT, YOU WILL NOT BE CONDUCTING THE COMMUNITY INTERVIEW WITH THE RESPONDENT. GO TO THE NEXT PAGE TO END THE INTERVIEW.		BOX INS1A
	BOX INS1A	routing	IF SP IS DECEASED (SPAISTATUS = 3 OR 4), SET RESPONDENT TO PROXY AND GO TO INS3B - INTHANK		
INSTDATE	INS3A1	no entry	YOU HAVE ENTERED THAT THE SP, (SP), WAS INSTITUTIONALIZED BEFORE JANUARY 1ST OF [CURRENT YEAR]. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND ENTER THE CORRECT DATE AT INS2. IF THIS IS CORRECT, YOU WILL NOT BE CONDUCTING THE COMMUNITY INTERVIEW WITH THE RESPONDENT. AFTER CLICKING "NEXT PAGE", YOU WILL RETURN TO CM-FIELD. PLEASE FILL OUT A RECORD OF CALL AND CODE THIS CASE AS A "NO COM PROXY- GO TO FAC" . PLEASE COLLECT ANY KNOWN FACILITY CONTACT INFORMATION AND DISCUSS THE CASE WITH YOUR FIELD MANAGER.		INS3B - INTHANK
INTHANK	INS3B	no entry	I would like to thank you for your time and cooperation during this interview. We may be contacting you in the future for further information.		BOX INSB1

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	BOX INS1	routing	IF SP IS DECEASED OR INSTITUTIONALIZED (SPALIVE = 2 OR 3), SET RESPONDENT TO PROXY AND GO TO IN4A - PERSON_PROXY. ELSE GO TO IN4 - SPPROXY.		
SPPROXY	IN4	code one	WILL THIS INTERVIEW BE CONDUCTED WITH THE SAMPLE PERSON OR WITH A PROXY?	(01) SAMPLE PERSON (02) PROXY	(01) BOX INS5 (02) IN4A - PERSON_PROXY
PERSON_PROXY	IN4A	roster	SELECT OR ADD THE NAME/RELATIONSHIP OF THE PROXY TO THE SP FOR THIS INTERVIEW. SELECT OR ADD ONLY ONE PERSON.	<p>DISPLAY PERSON ROSTER AS RESPONSE OPTIONS: 1. [PERSON 1] 2. [PERSON 2] ... (01-N) LIST ALL PERSONS AS RESPONSE OPTIONS (N+1) ADD ANOTHER</p> <p>DISPLAY: 1 First Name Display ROST.ROSTFNAM. 2 Last Name Display ROST.ROSTLNAM. 3 Relationship to SP Display relationship: If ROST.ROSTREL=91/OtherRelative or 92/OtherNon-Relative, display ROST.ROSTREOS. Else display ROST.ROSTREL relationship.</p>	<p>(01-N) BOX INS2AA (N+1) IN4A-ROSTFNAM</p> <p>IF EXISTING PERSON SELECTED, GO TO BOX INS2AA. ELSE IF "ADD ANOTHER" SELECTED, GO TO IN4A-ROSTFNAM.</p>
ROSTFNAM	IN4A	text	[What is the name of the person and relationship to (SP)?]	(01) continuous answer	IN4A-ROSTLNAM
ROSTLNAM	IN4A	text	[What is the name of the person and relationship to (SP)?]	(01) continuous answer	IN4A-ROSTREL
ROSTREL	IN4A	code one	[What is the name of the person and relationship to (SP)?]	(02) SPOUSE (56) PARTNER (58) CHILD (59) GRANDCHILD (60) PARENT (61) SIBLING (91) OTHER (-8) Don't Know (-9) Refused	(01) DO NOT DISPLAY (02) BOX INS2AA (56) BOX INS2AA (58) BOX INS2AA (59) BOX INS2AA (60) BOX INS2AA (61) BOX INS2AA (91) IN4AROSTREOS - ROSTREOS (-8) BOX INS2AA (-9) BOX INS2AA
ROSTREOS	IN4AROSTREOS	verbatim text	[What is the name of the person and relationship to (SP)?]	(01) continuous reponse (-8) Don't Know (-9) Refused	BOX INS2AA
	BOX INS2AA	routing	IF PERSON IS ADDED AT IN4A, GO TO BOX INS2A-1. ELSE GO TO IN5 - VRFYPROX.		

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VRFYPROX	IN5	yes/no	I would like to verify your name and relationship to (SP). I have you listed as [READ NAME AND RELATIONSHIP LISTED BELOW]. Is that correct? IF RELATIONSHIP IS MISSING, SELECT "NO" AND PROBE FOR RELATIONSHIP ON THE NEXT SCREEN. FIRST NAME: (PROXY'S FIRST NAME) LAST NAME: (PROXY'S LAST NAME) RELATIONSHIP: (PROXY'S RELATIONSHIP TO SP)	(01) YES (02) NO	(01) BOX INS2A-1 (02) IN6 - ROSTFNAM
ROSTFNAM	IN6	text	[What is your correct name and relationship to (SP)?]	(01) continuous answer	IN6 - ROSTLNAM
ROSTLNAM	IN6	text	[What is your correct name and relationship to (SP)?]	(01) continuous answer	IN6 - ROSTREL
ROSTREL	IN6	code one	[What is your correct name and relationship to (SP)?]	(02) SPOUSE (56) PARTNER (58) CHILD (59) GRANDCHILD (60) PARENT (61) SIBLING (91) OTHER (-8) Don't Know (-9) Refused	(01) DO NOT DISPLAY (02) BOX INS2A-1 (56) BOX INS2A-1 (58) BOX INS2A-1 (59) BOX INS2A-1 (60) BOX INS2A-1 (61) BOX INS2A-1 (91) IN6 - ROSTREOS (-8) BOX INS2A-1 (-9) BOX INS2A-1
ROSTREOS	IN6	verbatim text	What is your correct name and relationship to (SP)?	(01) continuous reponse (-8) Don't Know (-9) Refused	BOX INS2A-1
	BOX INS2A-1	routing	IF SP IS ALIVE AND INSTITUTIONALIZED (SPAISTATUS = 2), SET REASON WHY RESPONDENT IS PROXY TO "SP IS INSTITUTIONALIZED" (WHYPROXY = 07) AND GO TO BOX INS3. ELSE IF SP IS DECEASED (SPAISTATUS = 3 OR 4), SET REASON WHY RESPONDENT IS PROXY TO "SP IS DECEASED" (WHYPROXY = 06) AND GO TO BOX INS3. ELSE GO TO IN6A - WHYPROXY.		
WHYPROXY	IN6A	code one	WHAT IS THE <u>MAIN</u> REASON THAT A PROXY RESPONDENT IS NECESSARY?	(01) SP NOT CAPABLE PHYSICALLY/SICK/BLIND/CAN'T SPEAK/HEAR (02) SP NOT CAPABLE MENTALLY/POOR MEMORY/PSYCHIATRIC DISORDER (03) SP UNABLE TO PROVIDE INFORMATION REGARDING MEDICAL RECORDS (04) SP IN HOSPITAL (05) LANGUAGE PROBLEM (06) SP IS DECEASED (07) SP IS INSTITUTIONALIZED (08) SP NOT AVAILABLE THIS ROUND (09) AUTHORIZED PROXY MUST ANSWER QUESTIONS FOR SP (CODE REASON WHY) (91) OTHER	(01) BOX INS2B (02) BOX INS2B (03) BOX INS2B (04) BOX INS2B (05) BOX INS2B (06) BOX INS2B (07) BOX INS2B (08) BOX INS2B (09) BOX INS2B (91) IN6A - PNSPOS

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PNSPOS	IN6A	verbatim text	OTHER (SPECIFY)	(01) continuous response	BOX INS3
	BOX INS2B	routing	IF RESPONSE TO IN6a - WHYPROXY ONLY INCLUDES 9/CodeReasonWhy, GO TO IN6B - PNSPVB. ELSE GO TO BOX INS3.		
PNSPVB	IN6B	verbatim text	BRIEFLY EXPLAIN WHY PROXY MUST ANSWER QUESTIONS.		BOX INS3
	BOX INS3	routing	IF SP IS IN THE SUPPLEMENTAL SAMPLE AND IN6A - WHYPROXY = 6/SPIsDeceased, GO TO IN6B1 - SUPPDIED. ELSE IF SP IS IN THE SUPPLEMENTAL SAMPLE AND IN6A - WHYPROXY = 7/SPIsInstitute, GO TO IN6B2 - SUPPINST. ELSE GO TO BOX INS5.		
SUPPDIED	IN6B1	no entry	YOU HAVE ENTERED THAT THE SP, (SP), IS DECEASED. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND CORRECT YOUR RESPONSE AT IN6A.		BOX IN6
SUPPINST	IN6B2	no entry	YOU HAVE ENTERED THAT THE SP, (SP), IS INSTITUTIONALIZED. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND CORRECT YOUR RESPONSE AT IN6A.		BOX IN6
	BOX INS5	routing	IF (SP IS IN THE SUPPLEMENTAL SAMPLE) OR (SP IS NEW FROM FACILITY), GO TO IN8 - CHEKBRTH. ELSE IF IT'S A FALL ROUND, GO TO BOX IN6. ELSE GO TO BOX IN8.		
CHEKBRTH	IN8	yes/no	I have [your/(SP's)] date of birth listed as (CMS BIRTH DATE). Is that correct?	(01) YES (02) NO (-8) DON'T KNOW (-9) REFUSED	(01) IN10 - CHECKAGE (02) IN9 - HHDOBMM (-8) BOX IN6 (-9) BOX IN6

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HHDOBMM	IN9	date	What is [your/(SP's)] date of birth?	(01) continuous answer (-8) Don't Know (-9) Refused	IN9 - HHDOBDD
HHDOBDD	IN9	date	What is [your/(SP's)] date of birth?	(01) continuous answer (-8) Don't Know (-9) Refused	IN9 - HHDOBY Y
HHDOBY Y	IN9	date	What is [your/(SP's)] date of birth?	(01) continuous answer (-8) Don't Know (-9) Refused	BOX IN3A
	BOX IN3A	routing	IF SP'S DATE OF BIRTH MONTH, DAY OR YEAR COLLECTED AT IN9 = DK OR RF, GO TO BOX IN6. ELSE GO TO IN10 - CHECKAGE.		
CHECKAGE	IN10	yes/no	That makes [you/(SP)] (AGE) today. Is that correct?	(01) YES (02) NO	(01) BOX IN6 (02) IN9 - HHDOBMM
	BOX IN6	routing	IF SP'S AGE IS > 16, DK OR RF, GO TO IN13 - SPMARSTA. ELSE GO TO BOX IN8.		
SPMARSTA	IN13	code one	[Are you/Is (SP)/Was (SP)] married, widowed, divorced, separated, or never married?	(01) MARRIED (02) WIDOWED (03) DIVORCED (04) SEPARATED (05) NEVER MARRIED (-8) Don't Know (-9) Refused	BOX IN7
	BOX IN7	routing	IF (SP IS IN THE SUPPLEMENTAL SAMPLE) OR (SP IS NEW FROM FACILITY), GO TO IN14 - SPCHNLNM. ELSE GO TO BOX IN8.		
SPCHNLNM	IN14	numeric	Including natural, adopted, and stepchildren, how many living children [did (SP)/does (SP)/do you] have?	(01) continuous answer (-8) Don't Know (-9) Refused	BOX IN8

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	BOX IN8	routing	IF INTTYPE in(C001, C002, C003, C004, C005, C006, C007, C010), GO TO ENS.		
	BOX INSB1	routing	GO TO END1 - INTLANG.		END1 - INTLANG.