Electronic Signature How To Guide

The Provider Enrollment, Chain, and Ownership System (PECOS) allows authorized providers and suppliers to electronically sign their Medicare enrollment applications. Utilizing the electronic signature process ensures faster application submission, resulting in an earlier effective date. This feature does not change who is required to sign the application.

In PECOS, all individual practitioners are required to e-sign the Certification and Authorization Statements as part of the submission process. This applies to Physicians and Non-Physician Practitioners, including those enrolling just to order and refer. All Organizational users will have an authorized signer to electronically sign their certification statements as part of the submission process.

Any Individual Provider application (855-I) containing new reassignments (855-R) can be electronically signed as part of the submission process; however, you must select the Authorized Official (AO) or Delegated official (DO) for the Organization that is accepting the reassignment and enter that official's email address. The official will then be required to electronically sign the application by following the instructions in an email generated by PECOS.

If an individual provider or AO/DO does not want to use the e-signature process, they can print, sign, and upload the signed statement. Printed and mailed certification statements will no longer be accepted by their Medicare Administrative Contractor for online application submissions. Mailed certification statements are still acceptable for paper submissions.

Individual Enrolling and Reassigning Benefits Workflow:

Step 1: Provider Logs into PECOS at <u>https://pecos.cms.hhs.gov/</u>.



PECOS is accessed by the same user ID and Password used for National Plan and Provider Enumeration System (NPPES)

Welcome Daniel Plainview Release Notes Want to learn what's new in the latest PECOS release? Please review the Release Notes[PDF]. System Notifications Note: JavaScript must be enabled in your internet browser for PECOS to work properly. If JavaScript is currently disabled in your browser, refer to the Accessibility section in PECOS Help for instructions on enabling JavaScript. Details · Some features of PECOS are not compatible with IE 10 and IE 11 browsers. These issues can be remediated by enabling Compatibility View. For assistance, please contact your internal IT support helpdesk. For more details on this compatibility view settings for IE 10 please go to the following site 🖵. For more details on this compatibility view settings for IE 11 please go to the following site 🖾. Manage Medicare and Account Information



- Enroll in Medicare for the first time
- View and update existing Medicare information
- Continue working on saved applications

ACCOUNT MANAGEMENT

- Update your user account information, request or remove access to organizations
- · Manage access to Medicare enrollments

Step 3: Provider selects View Enrollments for the correct Associate.

| Records 1 - 2 | ? of 2 |
|-----------------------|--|
| TIN: XXX-XX-XXX | XX VIEW ENROLLMENTS |
| L NPI: | VIEW ENROLLMENTS |
| Records 1 - 2 | e of 2 |
| | |
| | |
| Records 1 - 2 | ? of 2 |
| Records 1 - 2 TIN: | e of 2 VIEW ENROLLMENTS |
| | Records 1 - 2 TIN: XXX-XX-XX NPI: Records 1 - 2 |

Step 4: From the My Enrollments page, the provider scrolls to the enrollment they would like to e-sign and selects More Options.

| Actio |
|-------|
| |
| |

Step 5: Provider chooses the option to continue working on application.

Home > My Enrollments > Application Questionnaire

| Application Questionnaire | |
|---|--|
| Approved Existing Provider Enrollment * What type of action is the applicant trying to perform © Continue Working on Application © Delete Application | (*) Red asterisk indicates a required field. |
| RETURN TO MY ENROLLMENTS | |

Step 6: Provider completes online Enrollment Application (Topic View or Fast Track View).

| 4D: 3577700301120130525000017 b Tracking ID: T071620130000000 | |
|---|-----------------|
| ividual Provider NPI: 1073893998 | |
| Reason for Application Enrolled Practitioner is Updating their Enrollment by Adding, Deleting, and/or | |
| EDIT REASON | Topic View |
| | |
| Medicare ID Report | |
| Select the hyperlink to view the Medicare ID Report: | |
| Topics | |
| he data required for this enrolment application is grouped into topics. In order to electronically submit this enrolment application, you must complete all of the following opics. | |
| You may view and print this enrollment application at any time during the enrollment process by clicking the View and Print button below. | |
| This application is collecting the following topics: | |
| Completed Topics | |
| Personal Information Image of the personal Information | |
| Practitioner Speciality Imore information about Practitioner Speciality | |
| PAR Status Information Information | |
| oliment ID: 120130529000017 :ID: 3577700301120130529000017 b Tracking ID: T071620130000000 b/dual Provider NP: 1073893996 | |
| | |
| Enrolled Practitioner is Updating their Enrollment by Adding, Deleting, and/or Changing | |
| EDIT REASON | Fast Track View |
| Topics | |
| Personal Information | |
| | |
| JOHN PROVIDER | |
| JOHN PROVIDER Date of Birth: 07/1200000 Social Security Number: X00-300-300000 Genetics: Male | |
| JOHN PROVIDER Date of Birth: 07/12/000X Social Security Number: X00-00-000X Gender: Male IRS Proprietary/Non-Profit Status: Accepting New Patients: Yes | |
| JOHN PROVIDER Date of Birth: 07/120000X Social Security Number: X00-00-000X Gender: Male IRS Proprietary/Non-Profit Status: Accepting New Patients: Yes Country of Birth: Medical School or other Professional School: BAYLOR COLLEGE OF DENTISTRY/TEXAS A AND M UNIVERSITY Varia of Graduation: 1999 | |

Step 7: Once all topics have been completed and all errors corrected, if applicable, the provider selects begin submission.

| completed | Topics | |
|-----------------------|---|--|
| 1 | Personal Information | |
| 1 | Practitioner Specialty | |
| 1 | Reassignment | |
| 1 | Resident/Fellow Status | |
| 1 | Correspondence Address | |
| 1 | License and Certification Information more information about License and Certification Information | |
| 1 | Final Adverse Actions | |
| 1 | Organization Control | |
| 1 | Contact Person more information about Contact Person | |
| 1 | Required and/or Supporting Documentation Required and/or Supporting Documentation | |
| lote: • Once you I | have completed all the topics and no errors are present, the 'Begin Submission' be enabled. You may review errors at any time by clicking the 'Error Check' tab. | |

Step 8: Provider identifies the Authorized Official (AO) for the entity receiving the reassigned benefits.

Home > My Enrollments > Initial Enrollment > Submission Process



Step 9: Provider selects a signature method for each identified signer.

| erisk indicates a required field. -XXXX 5051 He upload your certification on this page, or after lecting the Manage Signatures ents. Please select either able to submit electronic ered on this application <u>must</u> | |
|--|--|
| -XXXX 5051 e upload your certification on this page, or after lecting the Manage Signatures ents. Please select either able to submit electronic ered on this application <u>must</u> | |
| e upload your certification on this page, or after lecting the Manage Signatures ents. Please select either able to submit electronic ered on this application <u>must</u> | |
| ents. Please select either able to submit electronic ered on this application <u>must</u> | |
| able to submit electronic ered on this application <u>must</u> | |
| | |
| | |
| RIZED OFFICIAL UTHORIZATION STATEMENT ZATIONS (855R) | The Provider selects the Electronic metho |
| | for the Authorized Official (AO), and enter an email address to notify the AO that ar enrollment is pending their signature. |
| | RIZED OFFICIAL JTHORIZATION STATEMENT ZATIONS (855R) |

| | (*) Bod astoriek indicatos a required fie | intd |
|--|---|--|
| | () Red asterisk indicates a required he | ieid. |
| Name: GOPAL JIV Web Tracking ID: T112420200000031 | TIN: XXX-XX-XXXX NPI: 5786485648 | |
| PECOS now allows users to upload signed tatement(s), authorization statement(s), an ubmission, by navigating to the My EnrolIn option. | documents. Please upload your certification d CMS-588 forms on this page, or after nents page and selecting the Manage Signature | ires |
| tote: Users will no longer be able to mail in Electronic or Upload. | signature documents. Please select either | |
| Any Authorized or Delegated Officials with a signatures. Authorized or Delegated Official sow upload their signature documents. | an ITIN will not be able to submit electronic Is with an ITIN entered on this application <u>must</u> | <u>st</u> |
| Please select a signature method for | each signer: | |
| Name: ANASVA SOLOIA SSN: XXX-XX-XXX * Signature Method for ANASVA SOLOIA Electronic Upload | Role: AUTHORIZED OFFICIAL Document: AUTHORIZATION STATEMEN FOR ORGANIZATIONS (855R) | NT |
| Note: You may upload a signature document the submission of this application. To upload change the signature method, navigate to the and select the Manage Signatures option. | nt now, prior to application submission, or after d a signature document after submission, or to be My Enrollments page, find this application, | The provider selects the Up method for the AO. The pr |
| The following documents can be used to up | load a signature: | can upload the signature document now or after |
| Signature page from the corresponding application form available on the CMS v | Medicare provider/supplier enrollment website. | submission. |
| Signature page from the Required/Supp Enrollments Page select this application | porting Documentation topic, or from the My a then select View > View Printable Certification | |
| To upload a signature document now, brows | se for the file then select the Upload button. | |
| Decument AUTHODIZATION STATEMEN | T FOR ORGANIZATIONS (855D) | |
| Document: AUTHORIZATION STATEMEN | TI FOR ORGANIZATIONS (055R) | |

Step 10: Provider reviews and agrees to the Terms and Conditions.

| -Signature Submission | |
|--|--|
| (*) Red asterisk indicates a required field. | |
| E-Signature Instructions | |
| To e-sign the enrollment application, follow the steps below: | |
| Review all documentation prior to e-signing. | |
| 2. Review all applicable terms and conditions. | Browider must agree to the terms |
| Accepting all applicable terms and conditions is a requirement to e-sign. | and conditions by checking both |
| ertification Statement Terms and Conditions | boxes. By accepting the terms |
| The Certification Statement contains certain standards that must be met for initial and continuous enrollment in the Medicare program. Review these requirements carefully. | and conditions the provider's e- signature is complete. |
| By signing the Certification Statement, you agree to other to the following requirements listed therein and acknowledge that you may be denied entry to or revoked from the Medicare program if any requirements are not met. | |
| Tou must such the Catherence Statement Berry in order to be encoured in the Autorate | |
| * Do you accept the Terms and Conditions? | |
| I. Yes, I agree to the certification statement terms and conditions. Certify that I intend my electronic signature on this certification statement to be the legary binding equivalent of my traditional handwritten signature. | |
| Authorization Statement Terms and Constitions | |
| AUTHORIZATION STATEMENT (855R) | |
| The signatures below authence the reassignment of benefits to a supplier or the termination of a reassignment of benefits to a supplier, as indicated in Section 1. Title XVIII of the Social Secury Act prohibits payment for services provided by an individual practitioner to be paid to another individual or supplier unless the individual practitioner who provided the services specifically authorizes another individual or supplier (employer, | |
| * Do you accept the Terms and Conditions? | |
| Very Learne to the Authorization electroment terms and conditions. Learning that Linter dama | |
| res, regret to the Authorization statement terms and conditions. Lettiny that intend my electronic signature on this certification statement to be the legally binding equivalent of my traditional handwritten signature. | |
| | |
| NEXT PAGE | |

Step 11: Provider selects their fee-for-service contractor from the drop down box and clicks Apply.



Step 12: The Submission Page is displayed with a list of all required and supporting documentation that must be completed and mailed to the fee-for-service contractor if not digitally uploaded. Once reviewed the provider clicks the Complete Submission button.

| | and Business Lineares Contifications and/or Basisterities |
|-------|---|
| | opy of Business Licenses, Certifications and/or Registrations |
| • IR | LS Confirmation Letter for Disregarded Entity |
| • IR | S Confirmation Letter for Tax Identification Number |
| • c | opy of IRS Determination Letter - Non Profit |
| + c | MS-588 Form - Electronic Funds Transfer Authorization Agreement |
| + c | opy of current CLIA and FDA certification |
| • c | MS-855R Form - Individual Reassignment of Medicare Benefits |
| lote: | |
| • [| Expand 🎫 for document details. |
| • [| Documents in PDF format require the <u>Adobe Acrobat Reader®</u> [4]. If you experience problems with PDF documents, please <u>download the latest version of the Reader®</u> [4]. |
| | |
| | |

Step 13: A pop up message will appear prompting the provider to print, complete and mail, to the fee-for-service contractor, any required or supporting documentation. The provider clicks OK.

| IMPORTANT! |
|--|
| Your enrollment application with any uploaded documentation has been submitted to your fee-for-service contractor. |
| Any required and/or supporting documentation not uploaded must be mailed in to the fee-for-service contractor. |
| Your application may be delayed or not processed if any required/supporting documentation is missing. |
| |
| ОК |

Step 14: The Complete Submission confirmation page displays. Print the Provider Submission Confirmation Page for your records. Mail a copy of this page and all supporting documentation, if not digitally uploaded, to your Fee-For-Service contractor.

| | initiation complete |
|------|--|
| You | have successfully submitted your application! |
| Ren | ember to: |
| | Make sure all required and supporting documents that require a signature are signed. |
| • | Mail all required and supporting documents that has not been uploaded to your Medicare Contractor within 15 days of submitting the electronic part of your application. Your application is not complete until the Medicare Contractor(s) receives the signed required documentation of your application in the mail. |
| • | Any required and/or supporting documentation not uploaded must be mailed in to the fee-for- service contractor. |
| • | Your application may be delayed or not processed if any required/supporting documentation is missing. |
| • | If you are submitting an application with Electronic Funds Transfer (EFT) Information, please include confirmation of account information on bank letterhead or a voided check. |
| • | Print this page for your records. Note: You can print and/or save copies of the application and required documents for your records by visiting the "My Enrollments" page. |
| • | You will receive e-mails about your application status. Make sure to add "customerservice- donotreply@cms.hhs.gov" to your safe sender list. |
| You | have successfully submitted your application! |
| An e | -mail containing the PIN and Web Tracking ID have been sent to the Authorized Signer(s) to plete the E-Signature process for documents pertaining to this enrollment application. |
| Ren | lember to: |
| • | Include the Tracking ID or a copy of this page if you are mailing supporting documentation to your Medicare Contractor |
| • | Mail all other supporting documents to your Medicare Contractor within 15 days of submitting the electronic part of your application. Your application is not complete until the Medicare Contractor receives all required fully signed documentation for your application. |
| • | If you are submitting an application with Electronic Funds Transfer (EFT) Information, please include confirmation of account information on bank letterhead or a voided check. |
| • | Print this page for your records. Note: You can print and/or save copies of the application and required documents for your records by also visiting the "My Enrollments" page. |
| • | You will receive e-mail about your application status. Make sure to add "customerservice- donotreply@cms.hhs.gov" to your safe sender list. |
| | ollment Tracking Information |

Submitted Date: 16 - JULY - 2013

Submitted By: John Provider

Step 15: The AO of the organization receiving reassigned benefits (from Step 9) will receive a PECOS-generated email containing information about the enrollment application requiring his/her signature and a unique personal identification number (PIN) required to e-sign the document.

The email will provide two options for e-signing the application:

<u>**Option 1**</u>: Log into Internet-based PECOS using your existing PECOS ID and password (Workflow outlined in Step 16), or

<u>Option 2</u>: E-Sign via the PECOS E-signature website if you don't have an existing PECOS Id and password(Workflow outlines in Step17)

Note: The PIN received by email will expire after 72 hours. The Individual provider has the ability to resend the E-Signature email, which will reset the PIN, if needed.



Step 16: Option 1 - E-signing using existing PECOS ID and password.

The AO logs into PECOS at <u>https://pecos.cms.hhs.gov/pecos/login.do</u>.



Step 16a: The Manage Signature section displays all applications pending the AO's signature. The AO identifies the application they wish to e-sign and clicks the View and Sign button.

| System Notifications Note: JavaScript must be enabled in your internet browser for PECOS to work properly. If JavaScript is currently disabled in your browser, refer to the Accessibility section in PECOS Help for instructions on enabling JavaScript. | |
|--|--|
| From To Details There are | no notifications at this time. |
| Anage Medicare and Account Inform | ACCOUNT MANAGEMENT |
| Enroll in Medicare for the first time View and update existing Medicare information Continue working on saved applications | Update your user account information, request or remove access to organizations Manage access to Medicare enrollments |
| Ianage Signatures Applications Requiring Signatures | |
| Applicant Name: JOHN PROVID TIN: xxx-xx-2012 Web Tracking ID: xxxxx00001 Form Type: 855R |)ER |
| Role: AUTHORIZED OFFICIAL Document: AUTHORIZED OFFICIAL STATEMENT FOR CLINICS AND GRO | CERTIFICATION DUP PRACTICES |

Step 16b: The AO reviews and agrees to the Terms and Conditions.



Step 16c: The AO receives confirmation that their e-signature has been accepted.

| Your E-Signature Has Been # | ccepted | |
|----------------------------------|----------------------------------|--|
| You have successfully e-signed t | he following document(s): | |
| Web tracking ID: T07162013000 | 0001 | |
| View Submitted Application | | |
| Provider/Supplier Name: NEW J | ERSEY ASSOCIATES IN MEDICINE, PA | |
| Signer Name: JACK CAPPITELLI | | |
| Role: AUTHORIZED OFFICIAL | | |
| Oocument: AUTHORIZATION ST | TEMENT FOR ORGANIZATIONS | |
| Signed Date: Wed Jul 17 11:45:3 | 6 EDT 2013 | |
| | | |

Step 17: <u>Option 2 - E-sign via the PECOS E-signature website</u> The AO accesses the PECOS e-signature website at <u>https://pecos.cms.hhs.gov/pecos/eSignLogin.do</u>, contained within the email.

| | (*) Red asterisk indicates a required field. | |
|--|---|-----------------------|
| Remote Authentication Pa | ge | |
| You have been directed to this related to Medicare enrollment | site in order to electronically sign certain required documents application recently submitted on your behalf. | |
| WARNING: If you believe you h immediately. Only authorized a this system you expressly con evidence of possible criminal | ave been directed to this site by mistake, please close this page users have the right to access this site. By accessing and using sent to system monitoring. Any misuse will be documented as activity and reported to the appropriate law enforcement officials. | |
| Verify Your Identity and Va | alidate Your Application Record | The AO enters their |
| Enter the required Identity | information: | required identity |
| | | information, their |
| * First Name | | email address and the |
| * Last Name | | PIN contained within |
| Last Name | K | the DECOC assessed |
| * Date of Birth | | the PECOS generated |
| mm/dd/yyyy | | emails. |
| 10.0001 | | |
| SSN 20X-XX-X00X | | |
| | | |
| Enter the email address a | nd PIN you received in the PECOS emails: | |
| | | |
| * Email Address | K | |
| and the second sec |) | |
| * PIN | | |
| | | |
| | | |
| Margare Dible | last or evolved, click here to constrate a new one | |

Step 17a: The Signatures section displays all applications pending the AO's signature. The AO identifies the application they wish to e-sign and clicks the View and Sign button.

| 10 |
|----|

Step 17b: The AO reviews and agrees to the Terms and Conditions.



Step 17c: The AO receives confirmation that their e-signature has been accepted.

| Your E-Signa | ture Has Been Accepted | |
|----------------|--|--|
| You have succ | essfully e-signed the following document(s): | |
| Web tracking | ID: T071620130000001 | |
| View Submi | tted Application 🖙 | |
| Provider/Supp | lier Name: NEW JERSEY ASSOCIATES IN MEDICINE, PA | |
| Signer Name: | JACK CAPPITELLI | |
| Role: AUTHOR | IZED OFFICIAL | |
| Document: AU | THORIZATION STATEMENT FOR ORGANIZATIONS | |
| Signed Date: \ | Ved Jul 17 11:45:36 EDT 2013 | |

Learn more about PECOS at <u>https://PECOS.CMS.hhs.gov</u>, and be on the lookout for more enhancements in the coming months! Questions concerning a system issue regarding PECOS should be referred to the CMS EUS Help Desk at 866-484-8049 or <u>EUSSupport@cgi.com</u>, Monday – Friday, 7am – 7pm EST. You can also access the CMS EUS Help Desk at <u>https://eus.custhelp.com/</u>

| Key Terms | Definitions |
|-----------------------------|---|
| Authorized Official (AO) | Person who is authorized to legally bind a company. |
| Delegated Official (DO) | Person who is delegated by an authorized official the authority to report changes and updates to the supplier's enrollment record |
| E-Signature | Act of recording a user's: identity, intent, and acceptance or confirmation. |
| Individual Provider | Individual Provider or Supplier who enrolls in Medicare. |
| The Certification Statement | The information collected supports identification of the persons legally authorized to enroll the provider in the Medicare program, make enrollment changes, and bind the provider to the laws, regulations, and instructions of the program. |
| The Authorization Statement | The information collected supports identification of the persons legally authorized to approve the reassignment of benefits to a supplier or the termination of a reassignment of benefits to a supplier. When a user adds or terminates a reassignment of benefits in PECOS PI. An Authorization Statement has to be signed by the party reassigning/terminating benefits and the party receiving benefits. |